Eligible Energy Conservation Projects (EECP) Compliance Resource

Updated: July 2025

Article 321 of Local Law 97 allows two compliance pathways:

- The Performance Pathway, and
- The Prescriptive Pathway.

For buildings owners unable to fully comply under either of those pathways, the DOB allows for three penalty mitigation options:

- Unexpected or unforeseeable event,
- Eligible Energy Conservation Project ("EECP"), or
- Mediated resolution.

An Eligible Energy Conservation Project ("EECP") means that, at some point after the original effective date of LL97 (November 15, 2019) a building received a commitment for governmental assistance to implement a project that would enable compliance with one of the two Article 321 compliance pathways. To show that a building completed or is undergoing an EECP, the owner must submit:

- 1. One of the following documents that has been signed and dated anytime between November 15, 2019 and December 31, 2025:
 - HPD/HDC Commitment Letter.
 - o HPD/HDC Regulatory Agreement,
 - o NTP or PIOL from joint Utility Affordable Multifamily Energy Efficiency Program (AMEEP),
 - o NTP or PIOL for the Clean Heat program,
 - o Term Sheet from CPC for the Climate Friendly Homes Fund (CFHF),
 - Multifamily owner agreement for HCR Weatherization Assistance Program (WAP).

2. EECP Form:

- This includes project information, scope information and a brief narrative, and is signed by the Building Owner.
- Note that RDP/RCxA attestation is not required for the EECP compliance pathway.
- 3. Corresponding documentation as detailed in the EECP Narrative Form*

***Note**: Projects that have closed on financing must submit all required documents.

Projects that are actively working on their EECP project (are in HPD's pipeline, for example)







can submit the commitment letter and EECP form, and must submit their corresponding supporting documentation to DOB after closing.

Demonstration of such Eligible Energy Conservation Project may result in zero penalty.

How to apply for an EECP? Complete the EECP Form which allows building owners the choice of complying via a "Proxy Program" or an Eligible Scope, and outlines the documentation required to demonstrate that the project will enable Article 321 compliance. The Form can be found here and, once completed, can be uploaded to the LL97 Reporting Portal (BEAM), along with other required EECP documents. EECP submissions will be subject to audit and verification by DOB.

What projects qualify for the EECP pathway under LL97?

 Eligible Proxy Programs: Enterprise Green Communities, LEED, HPD New Construction programs, HPD Retrofit Electrification Pilot, HPD REDi, HPD Green Housing Preservation Program (GHPP), NYSERDA Low Carbon Pathways, AMEEP Comprehensive Pathway or Non-Comprehensive Pathway with an eligible scope from the list in the EECP form, Climate Friendly Homes Fund (CFHF), NYS Clean Heat, and HCR WAP.

OR

• Eligible Scopes: Oil-to-gas conversions, boiler replaced to meet NYCECC requirements, new roof + windows meeting NYCECC requirements, rooftop solar, installation of cold-climate electric heat pumps for heating and/or hot water, all applicable Article 321 PECMs included in scope.

Owners who can't demonstrate compliance w/ Article 321 AND who are ineligible for EECP, could seek an Adjustment due to financial constraints or a <u>Mediated Resolution</u>.

Steps for EECP Submission:

- 1. Confirm that the building is eligible for EECP based on guidance above.
- 2. Confirm building has an applicable commitment letter signed and dated between November 15, 2019 and December 31, 2025.
- 3. Complete the EECP Form
- 4. Submit payment for the EECP filing fee (\$210) in DOB NOW. For more information on how to use DOB NOW, please see DOB NOW User Guides available here.







5. Submit the Article 321 Penalty Mitigation ticket on the LL97 Reporting Portal (BEAM) including upload the EECP form plus all supporting documentation. **See note** above about documentation submission based on project status.

If you have questions about EECP eligibility or submission, please email sustainability@hpd.nyc.gov. Please include "LL97 EECP Question_Address" in subject line.

If you have questions about LL97 compliance or document submission, please email beam_ll97@buildings.nyc.gov. Please include "LL97 EECP Question" in subject line. Also include in the email the building's address, BBL, and BIN.

If you have any other LL97 questions, please contact the NYC Accelerator at info@accelerator.nyc.

Resources:

- <u>LL97 Guidance for Affordable Housing HPD</u>
- LL97 Greenhouse Gas Emissions Reduction Buildings
- NYC Accelerator | NYC Accelerator





