

NEW YORK CITY DEPARTMENT OF HEALTH AND MENTAL HYGIENE BOARD OF HEALTH

Notice of Adoption of Amendments to Articles 3 and 11 of the New York City Health Code

In compliance with section 1043(b) of the New York City Charter ("Charter") and pursuant to the authority granted to the New York City Board of Health ("Board") by section 558 of the Charter, a notice of public hearing and opportunity to comment on the proposed amendments to Sections 3.25 and 11.11 of the New York City Health Code ("Health Code") was published in the New York City Record on June 20, 2015. A public hearing was held on July 21, 2025. No testimony was provided at the hearing, and no written comments were submitted. No changes were made to the proposed rule. At its meeting on August 13, 2025, the Board adopted the following resolution.

Statement of Basis and Purpose of Rule

Numerous laws and regulations in New York City aim to protect confidentiality and privacy of information. The Health Code consists of various provisions requiring the confidentiality of information maintained by the Department, which has a long history of safeguarding personal health information. The Department seeks to continuously review these requirements. Based on its most recent review, the Department identified two specific provisions of the Health Code as warranting amendments to further strengthen the confidentiality of information and to ensure consistency within these sections of the Health Code.

Specifically, this rule amends Health Code Sections 3.25 and 11.11. Section 3.25 addresses inspection of records and proceedings of the Department. Section 11.11 addresses the confidentiality of reports and records submitted to the Department. These rules are being adopted because the Department is committed to strengthening the protection of the confidentiality of the personal information it collects and the individuals it serves, as well as making its code consistent with other applicable provisions of law.

Statutory Authority

The authority for this rule is found in Sections 556, 558 and 1043 of the Charter. Section 556 of the Charter provides the Department with jurisdiction to protect and promote the health of all persons in the City of New York. Sections 558(b) and (c) of the Charter empower the Board to amend the Health Code and to include all matters to which the Department's authority extends. Section 1043 grants the Department rule-making authority.

<u>Underlined</u> language is new.

Language in [brackets] is to be deleted.

Ellipses (***) indicate unamended text.

RESOLVED, that subdivisions (a), (b) and (c) of section 3.25 of Article 3 of the New York City Health Code, as set forth in Title 24 of the Rules of the City of New York, are amended to read as follows:

- (a) Except as prohibited, required or authorized by this Code, including this section, or other applicable law:
- (1) Records of the Department containing [individually identifiable] <u>identifying</u> information, or other information that the Department reasonably believes may endanger the health or safety of any person if disclosed, shall be confidential and used only by authorized personnel of the Department or its authorized agents. For the purposes of this section the term "[individually identifiable] <u>identifying</u> information" [shall mean any information or data which by itself or in combination with any other publicly available information could, in the opinion of the Department, be used to identify a particular individual] <u>has the meaning set forth in section 23-1201</u> of the Administrative Code;
- (2) A person, upon submission of a legally appropriate written request or consent, and proof of identity satisfactory to the Department if deemed necessary by the Department, may inspect, or obtain a copy of, or authorize [his or her] their attorney or representative to inspect or obtain a copy of a file, record, report, proceeding of the Department or any other document, whether oral, written, electronic, visual or in any other form which contains [individually identifiable] identifying information pertaining to such person; provided that [individually identifiable] identifying information pertaining to another person is not disclosed. A person who owns, manages, operates or has any legal interest in a premises may, in the manner set forth above, have access to Departmental reports and records of the Department's environmental inspections pertaining to such premises; provided that said records are redacted of any [personal] identifying information concerning the occupants of such premises. A request to inspect or consent to disclose [shall] must be made in writing and [shall] must describe the information to be inspected or disclosed. Inspections of records shall be permitted during times and at such places as the Department may determine. A written authorization for an attorney or representative to inspect or obtain copies of Department records [shall] must be made on forms provided or a format approved by the Department.
- (b) Subdivision (a) of this section shall not prevent the Commissioner or authorized personnel of the Department from [furnishing] disclosing appropriate information to a physician or institution providing examination or treatment to a person suspected of or affected with a disease or condition, to an agency approved by the Department for prevention, treatment or social care, or to any person when necessary for the protection of health as determined by the Commissioner or their designee. Only the minimum information determined to be necessary by the Commissioner or their designee to accomplish the intended purpose will be disclosed. A person, institution or agency to whom such information is [furnished] disclosed or to whom access to records has been given, shall not divulge any part thereof so as to disclose [individually identifiable] identifying information of the person to whom such information or record relates, except insofar as such disclosure is necessary for the treatment of such person or for the protection of the health of others. Notwithstanding [the subdivision] subdivisions (a) [or] and (b) of this section, no such access or disclosure shall occur if such is otherwise prohibited by this Code or other applicable law.

(c) No person shall violate any term or condition of a written data use agreement, protocol or other understanding upon which the Department has relied to grant access to [individually identifiable] <u>identifying</u> information or data.

RESOLVED, that section 11.11 of Article 11 of the New York City Health Code, as set forth in Title 24 of the Rules of the City of New York, is amended to read as follows:

§ 11.11 Confidentiality of Reports and Records.

- (a) (1) Epidemiological and surveillance reports and records of cases, contacts, carriers, suspect cases or suspect contacts of diseases and conditions of public health interest that are reported to the Department, including but not limited to additional information it may obtain, develop or prepare in the course of an epidemiological investigation, shall be confidential and shall not be subject to inspection by persons other than authorized personnel or agents of the Department or by the State Department of Health pursuant to the State Sanitary Code. The disclosure of such reports, records or information shall not be compelled. No individual's medical or [individually identifiable] <u>identifying</u> information shall be disclosed from any epidemiological report or record, and no disclosure thereof may be compelled, regarding any individual who is the subject of, or identified in, such a report, or regarding an individual or entity that has made such a report. For purposes of this section the term "identifying information" has the meaning set forth in section 23-1201 of the Administrative Code.
- (2) Epidemiological or surveillance information that is disseminated as aggregated statistical data shall be prepared as determined by the Department in a manner that does not reasonably enable re-identification of any person whose personal health or [individually identifiable] identifying information is contained in such data.
- (b) Notwithstanding subdivision (a) hereof, to the extent permissible under applicable law and in accordance with the provisions of 24 RCNY Health Code § 3.25, the person to whom any such epidemiological and surveillance report or record relates, or in the case of a minor or incompetent such person's parent, legal guardian or custodial guardian, may sign a written consent authorizing the Commissioner to disclose such person's own patient information or records of diagnosis or treatment. The consensual disclosure of such information shall only be made to the person to whom the information relates, or to such person's current treating medical provider, or to a court upon receipt of such a written consent and a court order from that court. A disclosure pursuant to this subdivision shall not include the identity of persons who reported the case, investigative or epidemiological information related to the case or the identities and epidemiologic, surveillance and laboratory information on the person's contacts or other suspect or confirmed cases, contacts or carriers associated with the same epidemiologic investigation.
- (c) Subdivisions (a) and (b) of this section shall not prevent the Commissioner or authorized personnel of the Department from [furnishing] disclosing what the Department determines to be appropriate information to a physician or institution providing examination or treatment to a person suspected of or affected with a disease or condition of public health interest, to an agency

approved by the Department for prevention, treatment or social service, or to any person when necessary for the protection of public health as determined by the Commissioner or their designee. Only the minimum information determined by the Commissioner or their designee to be necessary [for] to accomplish the intended purpose shall be disclosed. A person, institution or agency to whom such information is disclosed or to whom access to records has been given shall not divulge any [part thereof so as to disclose the identity] identifying information of the person to whom such information or record relates, except insofar as such disclosure is necessary for the treatment of a case or carrier or for the protection of the health of others.

- (d) (1) Information contained in the immunization registry created pursuant to 24 RCNY Health Code § 11.07 and the children's blood lead registry established pursuant to 24 RCNY Health Code § 11.09 shall be confidential and not subject to inspection by persons other than the Commissioner or authorized personnel or agents of the Department and persons or agencies authorized herein. The Department may disclose information contained in said immunization registry in accordance with the provisions of § 2168 of the New York State Public Health Law, and the regulations promulgated pursuant thereto. Information contained in the children's blood lead registry may be disclosed and the Department may permit access to such information by a person, authorized by law to administer or order a blood test, who is treating or testing the individual to whom said information relates, or to a public health agency for the protection of health. The Department may also disclose what [it] the Commissioner or their designee considers appropriate and necessary information from such immunization or children's blood lead registries to a person or agency concerned with immunization or blood lead testing of children authorized by the Department when (i) such person or agency provides sufficient identifying information satisfactory to the Department to identify the individual to whom such information relates and (ii) such disclosure is in the best interests of such individual and, in the case of a child, [his or her] their family, or will contribute to the protection of the public health, as determined by the Commissioner or their designee. Notwithstanding the foregoing, the person to whom any immunization or blood lead test record relates, or [his or her] their custodial parent, guardian, or other person in parental or custodial relation to such person, may, by signing a written consent, authorize the Commissioner to disclose such record.
- (2) A person, institution or agency to whom such immunization or blood lead registry information is furnished or to whom access to records or information has been given, shall not divulge any [part thereof so as to disclose the identity] <u>identifying information</u> of the person to whom such information or record relates, except insofar as such disclosure is necessary for the protection of the health of the person or other person, <u>as determined by the Commissioner or their designee</u>.