

CHAPTER 7
ADJUDICATORY HEARINGS
AND VIOLATION FINES AND PENALTIES

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§7-01 Definitions.

When used in this Chapter, the following terms have the following meanings:

Administrative Code or **Admin. Code** means the Administrative Code of the City of New York.

Charter means the New York City Charter.

Cure means that the respondent has submitted proof of having corrected a first-time violation and the Department has accepted such proof.

Environmental Control Board means the board that exists in the OATH Hearings Division pursuant to Charter §1049-a.

First-time violation means a violation of law, listed in Appendix 7-A of this Chapter, committed by a respondent for the first time and cited on a summons that either is pending or has not been adjudicated by OATH as defaulted or sustained.

NYCHC or Health Code means the New York City Health Code, found in Title 24 of the Rules of the City of New York.

NYSPHL means the New York State Public Health Law.

OATH means the New York City Office of Administrative Trials and Hearings (OATH).

OATH Trials Division means the tribunal of OATH, established by Charter §1048(1) to conduct adjudicatory hearings for all agencies of the City of New York.

OATH Hearings Division includes the tribunal established by the Board of Health pursuant to Charter §558 and transferred to OATH by Mayoral Executive Order 148 (2011).

Premises means real property consisting of a building and land on which it is situated. "Same premises" refers to (i) a specific building, or (ii) a group of buildings co-located in a development owned by the same entity. "*Same premises*" means (i) a specific building, or (ii) a group of buildings co-located in a development owned by the same entity.

RCNY means the Rules of the City of New York.

Summons means a document, including a notice of violation, issued by the Department to a respondent, that specifies the charges forming the basis of an adjudicatory proceeding at the Office of Administrative Trials and Hearings.

§7-02 Adjudications seeking civil fines.

In accordance with Charter §§1041 and 1046, adjudicatory hearings commenced by service of a civil summons or notice of violation seeking a civil fine or monetary penalty for violations of State and local law enforced by the Department shall be conducted by the OATH Hearings Division.

§7-03 Mandatory fines and penalties.

- (a) *Fixed penalties.* When a monetary fine or penalty for a violation enforced by the Department is specified in the Health Code, a rule of the Department, including in Appendix 7-A of this Chapter, or in any other applicable law, a hearing officer must impose that fine or penalty if the hearing officer sustains the violation.
- (b) *Other Health Code violations.* Fines imposed for Health Code violations that are not specified in Appendix 7-A of this Chapter or in another law or rule must be within the range provided in Health Code §3.11 or a successor provision.

§7-04 Fines for unspecified violations of Health Code Articles 43 and 47.

The penalty for a violation of any provision of Health Code Article 47 (Child Care Programs) or Article 43 (School-Based Programs for Children Ages Three Through Five) that is not listed in Appendix 7-A of this Chapter shall be \$200. The penalty must be doubled to \$400 if the hearing officer finds the respondent in default.

§7-05 Escalation of fines for rodent violations.

Pursuant to Administrative Code §17-133.1, the standard fine for a violation of §151.02 of the Health Code that pertains to the eradication or elimination of rodents, rodent harborages or other rodent related nuisances must be \$300. The fine for each subsequent violation at the same premises and under the same ownership or control within a two-year period must be double the amount of the penalty for the previous violation but may not exceed the maximum fine for any Health Code violation.

§7-06 Standing water violations.

The penalty for a violation of Health Code §151.03 shall vary depending on whether the accumulation of standing water that is the basis of the violation is determined to be minor, moderate or extensive.

- (a) *Minor violations* consist of small amounts of standing water and decomposing matter in one or more outdoor containers with a diameter of three to 24 inches and a capacity of five or fewer gallons of water. Examples of types of containers include trash cans, buckets, birdbaths, fountains, roof gutters and roof puddles and in accumulations of one to four tires.
- (b) *Moderate violations* consist of larger amounts of standing water and decomposing matter than the amounts stated for minor violations. These include ponded water accumulations of

less than 10 square feet. Examples of where this amount of water and decomposing matter may be found include ditches, swimming pool covers, ponds, outdoor containers without lids holding five or more gallons of water and in accumulations of five to 20 tires.

- (c) *Extensive violations* consist of standing water and decomposing matter accumulations covering 10 or more square feet. Examples of where this amount of water and decomposing matter may be found include open foundation construction sites, swimming pools with stagnant untreated or improperly treated water and in accumulations of more than 20 tires.

§7-07 Fines for other repeat violations.

- (a) *Summons issued to the owner or other person in control of premises.* For summonses alleging that the owner or person in control of a premises or regulated business has committed a “repeat violation” other than one provided for in §7-05 of this Chapter, the hearing officer must impose the fine listed in Appendix 7-A of this Chapter for a repeat violation if, within the previous 12 months, the respondent was found to have violated the same provision of law at the same premises.
- (b) *Summons issued to certain contractors.* For any summons alleging that a contractor committed a repeat violation of Health Code §173.14 by disturbing lead based paint or paint of unknown lead content while performing work in a premises, the hearing officer must impose the fine specified in Appendix 7-A of this Chapter for a repeat violation if the hearing officer determines that the contractor violated the same provision of law in any premises during the previous 12 months.
- (c) *Unproved repeat violation to be considered an initial violation.* If a hearing officer finds that a respondent committed the violation alleged in the summons, but that the violation is not a repeat violation because the same provision of law was not violated within the previous 12 months, the hearing officer must impose the fine listed in Appendix 7-A of this Chapter for an initial violation of that provision.

§7-08 Defaults.

If a respondent fails to appear to answer a summons and is found in default, the penalty imposed for a violation of the Health Code must be twice the amount set forth in Appendix 7-A of this Chapter or \$2,000, whichever is lower. Fines imposed when a respondent is found in default for violations of other provisions of law may not exceed the amount stated in Appendix 7-A of this Chapter.

§7-09 Other adjudications.

Pursuant to Charter §1048, the Commissioner delegates to the OATH Trials Division authority to conduct hearings of matters pertaining to the enforcement of State and local law within the jurisdiction of the Department where an OATH administrative law judge shall make and submit recommended findings of fact, decisions, determinations and orders to the Commissioner who shall make final findings, determinations and orders in accordance with Article 5 of the Health Code or other applicable law. Such hearings include but are not limited to matters where a respondent must be provided with a hearing or an opportunity to be heard and show cause why the Commissioner should not issue an order or take other action (i) to suspend or revoke a license, permit or registration of a business or activity whose operation or conduct is deemed detrimental to the public health; (ii) to abate nuisances or other detrimental health conditions,

including closing, padlocking and sealing premises deemed a public nuisance; (iii) to require an entity to cease and desist from specific acts that endanger public health; or (iv) with respect to Department employee matters pursuant to New York Civil Service Law.

§7-10 Submitting proof of correction for eligible first-time violations.

- (a) Submission of proof of correction must be in writing in a form approved or provided by the Department.
- (b) The proof must be submitted to the Department electronically or in person within seven (7) calendar days of the date the violation was issued as recorded on the summons.
- (c) The proof must be affirmed by the permittee or their authorized representative that it is complete and accurate to the best of such person's knowledge.
- (d) Submission of any false statements in support of a proof of correction may be subject to penalties prescribed for violations of Health Code § 3.19 and other applicable law.
- (e) A permittee whose first-time violation is pending at OATH for adjudication is not eligible to submit a proof of correction for a subsequent identical violation.

§7-11 Acceptance of proof of correction for eligible first-time violations.

- (a) The Department must accept proof of correction if it determines that the proof is adequately documented and submitted timely in accordance with § 7-10 of this Chapter. Acceptance of proof of correction constitutes a cure and an admission of the violation for all purposes, except as provided in subdivision (b) of this section.
- (b) A first-time violation whose proof of correction has been accepted by the Department will not be subject to a civil penalty.
- (c) The determination of whether a violation is a first-time violation shall be based solely on the records of the Department.
- (d) The Department may require further documentation in addition to the proof of correction and may inspect the establishment or take any other action as it deems necessary before acceptance or rejection of such proof.

Nothing in this Chapter limits the authority of the Department to conduct other inspections or take any other action it deems necessary to enforce any provision of law within the jurisdiction of the Department.

§7-12 Fines for violations of New York City Health Code Articles 143, 161, 165, 167, 175 and 177 not listed in Appendix 7-A.

The penalty for a violation of any provision of New York City Health Code Article 143 (*Disposal of Sewage*), Article 161 (*Animals*), Article 165 (*Bathing Establishments*), Article 167 (*Bathing Beaches*), Article 175 (*Radiation Control*), or Article 177 (*Tanning Facilities*) that is not listed in Appendix 7-A of this Chapter is \$250. The penalty will be doubled to \$500 if the respondent fails to appear to answer a summons and is found in default.

APPENDIX 7-A
PENALTY SCHEDULE

Section of Law	Violation Description	Standard Penalty	Default Penalty
NYCHC § 3.05	Failing to comply with Department, Board of Health or Commissioner's order	\$1,000	\$2,000
NYCHC § 3.07	Failing to take reasonable precautions to protect health and safety	\$1,000	\$2,000
NYCHC § 3.09	Failing to abate or remediate nuisance	\$1,000	\$2,000
NYCHC § 3.11(b)	Operating a business or conducting an activity without a currently valid permit	\$1,000	\$2,000
NYCHC § 3.15	Interfering with or obstructing Department staff in performing duties, or offering a bribe to Department staff	\$1,000	\$2,000
NYCHC § 3.17	Notice or order of Department, Board of Health or Commissioner mutilated, obstructed or removed	\$1,000	\$2,000
NYCHC § 3.19	Offering or making false, misleading statements or documents	\$1,000	\$2,000
NYCHC § 11.29	Owning or harboring a dog, cat or horse not immunized against rabies	\$500	\$1,000
NYCHC § 43.05	Failure to file required notice	\$1,000	\$2,000
NYCHC § 43.09(a)	Line of sight supervision not maintained	\$300	\$600
NYCHC § 43.09(b)	Minimum staff to child ratios not maintained	\$300	\$600
NYCHC § 43.11(a)	Ill staff not excluded	\$300	\$600
NYCHC § 43.13(c)	No staff fingerprint, criminal record review	\$300	\$600
NYCHC § 43.13(e)	Prohibited staff hired, retained or allowed on premises	\$300	\$600
NYCHC § 43.13(g)(1)	Failure to take required action in response to criminal record of an employee	\$300	\$600
NYCHC § 43.13(g)(3)	Prohibited staff allowed to have unsupervised contact with children	\$300	\$600
NYCHC § 43.15(a)	No corrective action plan when required	\$300	\$600

NYCHC § 43.15(b)	Corrective action plan inadequate	\$300	\$600
NYCHC § 43.15(c)	Corrective action plan not available for inspection	\$300	\$600
NYCHC § 43.19(f)	Failure to exclude child who is carrier of communicable disease in accordance with Article 11	\$300	\$600
NYCHC § 43.21(b)	Failure to provide emergency medical care to injured/ill child	\$300	\$600
NYCHC § 43.23(b)(1)	Peeling lead based paint or paint of unknown lead content on a surface	\$400	\$800
NYCHC § 43.23(b)(2)	Peeling lead based paint or paint of unknown lead content not abated	\$400	\$800
NYCHC § 43.23(b)(3)	Children present in area undergoing abatement or other work that disturbs paint	\$600	\$1,200
NYCHC § 43.24(a)	Drinking water not tested for lead as required.	\$400	\$800
NYCHC § 47.03(a)	Operating a child care program without a currently valid permit	\$1,000	\$2,000
NYCHC § 47.05(b)	Number of children exceeds authorized capacity	\$300	\$600
NYCHC § 47.09(b) Admin Code 17-1304(a)	Failure to disclose serious injury or death	\$1,000	\$2,000
NYCHC § 47.19(c)	No staff fingerprint or criminal record review, and/or inquiry of the State Central Register of Child Abuse and Maltreatment (SCR)	\$300	\$600
NYCHC § 47.19(g)	Failure to notify Department of indicated SCR report or death, injury, or lack of supervision of child attending a program	\$300	\$600
NYCHC § 47.19(h)	Prohibited staff hired, retained or allowed to be on premises	\$300	\$600
NYCHC § 47.21(a)	Corrective action plan not submitted within five business days	\$300	\$600
NYCHC § 47.21(b)	Corrective action plan inadequate	\$300	\$600
NYCHC § 47.23(a)	Constant and competent supervision of children not maintained at all times	\$300	\$600
NYCHC § 47.27(f)	Ill child not excluded	\$300	\$600
NYCHC § 47.29(b)	Failure to obtain emergency medical care for injured/ill child	\$300	\$600
NYCHC § 47.33(a)	Ill staff not excluded	\$300	\$600
NYCHC § 47.41(a)	Two means of egress not available	\$300	\$600

NYCHC § 47.41(f)	Corridors, doorways, stairs or exits obstructed	\$300	\$600
NYCHC § 47.43(a)	Drinking water not tested for lead as required.	\$400	\$800
NYCHC § 47.57(b)	Safety, health hazards not eliminated in areas accessible to children	\$300	\$600
NYCHC § 47.57(d)	Indoor air temperatures not maintained between 68° F and 72°F when outdoor temperature below 55°F	\$300	\$600
NYCHC § 47.57(e)	Failure to take appropriate actions during a heat advisory or other severe weather incidents	\$300	\$600
NYCHC § 47.57(f)	Areas used or occupied by children in the child care service not previously approved by DOHMH, FDNY and DOB	\$300	\$600
NYCHC § 47.57(g)	Co-location in premises associated with environmental hazards	\$300	\$600
NYCHC § 47.57(i)(2)	Inadequate supervision during aquatic activities	\$300	\$600
NYCHC § 47.59	Failure to comply with fire safety rules	\$300	\$600
NYCHC § 47.61(b)	Children provided unwholesome food or drinks	\$300	\$600
NYCHC § 47.63(a)(1)	Peeling lead paint or paint of unknown lead content on a surface	4300	\$600
NYCHC § 47.63(a)(2)	Peeling lead paint or paint of unknown lead content not abated or remediated	\$400	\$800
NYCHC § 47.63(a)(7)	Children present or have access to a room undergoing abatement or remediation of lead paint or paint of unknown lead content	\$600	\$1,200
NYCHC § 47.65(c)	Children not secured in age-appropriate safety seats or by safety belts	\$300	\$600
NYCHC § 47.73(b)(2) Admin Code 17-1303	Availability of childcare service inspection information not posted*	\$500	\$1000
NYCHC § 131.07(c)(2)	Commercial premises: insufficient heat; initial	\$300	\$600
NYCHC § 131.07(c)(2)	Commercial premises: insufficient heat; repeat (#2 or more)	\$600	\$1,200
NYCHC § 131.09	Commercial premises not properly maintained; initial	\$300	\$600
NYCHC § 131.09	Commercial premises not properly maintained; repeat	\$600	\$1,200
NYCHC § 131.09(a)	Commercial premises: failure to provide, maintain adequate lighting; initial	\$200	\$400
NYCHC § 131.09(a)	Commercial premises: failure to provide, maintain adequate lighting; repeat	\$500	\$1,000

NYCHC § 131.09(b)	Commercial premises: failure to provide, maintain adequate ventilation; initial	\$200	\$400
NYCHC § 131.09(b)	Commercial premises: failure to provide, maintain adequate ventilation; repeat	\$500	\$1,000
NYCHC § 131.09(c)	Commercial premises: failure to maintain plumbing; initial	\$200	\$400
NYCHC § 131.09(c)	Commercial premises: failure to maintain plumbing; repeat	\$400	\$800
NYCHC § 131.09(d)(1)	Commercial premises: insufficient potable water; initial	\$250	\$500
NYCHC § 131.09(d)(1)	Commercial premises: insufficient potable water; repeat	\$500	\$1,000
NYCHC § 131.09(d)(2)	Commercial premises: insufficient hand wash sinks, liquid soap, drying devices; initial	\$200	\$400
NYCHC § 131.09(d)(2)	Commercial premises: insufficient hand wash sinks, liquid soap, drying devices; repeat	\$500	\$1,000
NYCHC § 131.09(d)(3)	Commercial premises: insufficient, inadequate utility sinks: initial	\$200	\$400
NYCHC § 131.09(d)(3)	Commercial premises: insufficient, inadequate utility sinks; repeat	\$400	\$800
NYCHC § 131.09(d)(4)	Commercial premises: insufficient, not maintained employee toilets; initial	\$200	\$400
NYCHC § 131.09(d)(4)	Commercial premises: insufficient, not maintained employee toilets; repeat	\$500	\$1,000
NYCHC § 131.09(e)	Commercial premises: floors not in good repair, not clean; initial	\$200	\$400
NYCHC § 131.09(e)	Commercial premises: floors not in good repair, not clean; repeat	\$400	\$800
NYCHC § 131.09(f)	Commercial premises: walls and ceilings not in good repair, not clean; initial	\$200	\$400
NYCHC § 131.09(f)	Commercial premises: walls and ceilings not in good repair, not clean; repeat	\$400	\$800
NYCHC § 131.09(g)	Commercial premises: premises not in good repair, not clean; initial	\$200	\$400
NYCHC § 131.09(g)	Commercial premises: premises not in good repair, not clean; repeat	\$400	\$800
NYCHC § 131.13(a)	Failure to control unsafe conditions – contaminants; initial	\$300	\$600
NYCHC § 131.13(a)	Failure to control unsafe conditions – contaminants; repeat	\$600	\$1,200
NYCHC § 131.13(b)	Failure to control unsafe conditions – ventilation; initial	\$300	\$600
NYCHC § 131.13(b)	Failure to control unsafe conditions – ventilation; repeat	\$600	\$1,200
NYCHC § 131.13(c)	Failure to control unsafe conditions – discarding refrigerators; initial	\$300	\$600

NYCHC 131.13(c)	Failure to control unsafe conditions – discarding refrigerators; repeat	\$600	\$1,200
NYCHC 131.17	Dry cleaning facilities: improper emissions levels; initial	\$500	\$1,000
NYCHC 131.17	Dry cleaning facilities: improper emissions levels; repeat	\$1,000	\$2,000
NYCHC § 143.11(a)	Individual private sewage disposal systems constructed in subdivision realty developments involving 15 or more dwellings without approval by the Department	\$1,000	\$2,000
NYCHC § 143.11(b)	Community private sewage disposal system constructed and/or maintained without a permit issued by the Commissioner	\$1,000	\$2,000
NYCHC § 143.11(c)	Application for a permit to construct and maintain a community private sewage disposal system submitted by unauthorized person	\$500	\$1,000
NYCHC § 143.11(d)	Plans for construction and maintenance of community private sewage disposal system not submitted in the required format and/or not submitted by qualified professional engineer or licensed architect	\$500	\$1,000
NYCHC § 143.11(e)	Community private sewage disposal system construction under a revoked application approval or more than a year after application approval	\$1,000	\$2,000
NYCHC § 143.11(f)	Community private sewage disposal system constructed not in accordance with approved plans and specifications and/or the NYC Building Code	\$500	\$1,000
NYCHC § 143.15	Privy constructed not in accordance with the authorized use designated by the Department	\$500	\$1,000
NYCHC § 143.15	Privy poses a nuisance or public health hazard by polluting potable water sources or discharging sewage on ground level	\$1,000	\$2,000
NYCHC § 143.15	Privy is not protected against rodents, insects, and other pests and/or is not covered and protected from the outside air	\$500	\$1,000
NYCHC § 143.15	Privy not properly cleansed and filled when taken out of service such that it causes a nuisance or public health hazard	\$1,000	\$2,000
NYCHC § 151.02(a)	Pests (other than rodents)	\$200	\$400

NYCHC § 151.02(a)	Failure to eliminate rodent infestation shown by active rodent signs: one or more live rodents, or rodent droppings, burrows, runways, tracks, rub marks or gnaw marks; in interior or exterior of premises: first citation	\$300	\$600
NYCHC § 151.02(a)	Failure to eliminate rodent infestation shown by active rodent signs: one or more live rodents, or rodent droppings, burrows, runways, tracks, rub marks or gnaw marks; in interior or exterior of premises: repeat (#2 in 24 month period)	\$600	\$1,200
NYCHC § 151.02(a)	Failure to eliminate rodent infestation shown by active rodent signs: one or more live rodents, or rodent droppings, burrows, runways, tracks, rub marks or gnaw marks; in interior or exterior of premises: repeat (#3 in 24 month period)	\$1,200	\$2,000
NYCHC § 151.02(a)	Failure to eliminate rodent infestation shown by active rodent signs: one or more live rodents, or rodent droppings, burrows, runways, tracks, rub marks or gnaw marks; in interior or exterior of premises: repeat (#4 or more in 24 month period)	\$2,000	\$2,000
NYCHC § 151.02(a)	Failure to eliminate conditions conducive to rodent infestation of premises via holes, gaps in floor, walls, ceiling, pipes, baseboards, screens, doors, and sills; initial	\$300	\$600
NYCHC § 151.02(a)	Failure to eliminate conditions conducive to rodent infestation of premises via holes, gaps in floor, walls, ceiling, pipes, baseboards, screens, doors, and sills; repeat (#2 in 24 month period)	\$600	\$1,200
NYCHC § 151.02(a)	Failure to eliminate conditions conducive to rodent infestation of premises via holes, gaps in floor, walls, ceiling, pipes, baseboards, screens, doors, and sills; repeat (#3 in 24 month period)	\$1,200	\$2,000
NYCHC § 151.02(a)	Failure to eliminate conditions conducive to rodent infestation of premises via holes, gaps in floor, walls, ceiling, pipes, baseboards, screens, doors, and sills; repeat (#4 or more in 24 month period)	\$2,000	\$2,000
NYCHC § 151.02(a)	Failure to eliminate conditions conducive to rodents, e.g., via interior and/or exterior debris, dense vegetation; initial	\$300	\$600

NYCHC § 151.02(a)	Failure to eliminate conditions conducive to rodents, e.g., via interior and/or exterior debris, dense vegetation; repeat (#2 in 24 month period)	\$600	\$1,200
NYCHC § 151.02(a)	Failure to eliminate conditions conducive to rodents, e.g., via interior and/or exterior debris, dense vegetation; repeat (#3 in 24 month period)	\$1,200	\$2,000
NYCHC § 151.02(a)	Failure to eliminate conditions conducive to rodents, e.g., via interior and/or exterior debris, dense vegetation; repeat (#4 or more in 24 month period)	\$2,000	\$2,000
NYCHC § 151.02(a)	Failure to properly and thoroughly eliminate conditions conducive to pests and to the presence of pests other than rodents or mosquitoes	\$300	\$600
NYCHC § 151.02(b)	Failure to eliminate conditions conducive to pests, e.g., uncovered garbage cans or containers, garbage spillage, uncontained garbage	\$300	\$600
NYCHC § 151.02(c)	Failure to comply with Department or Commissioner's order; pest management plan not complied with; no inspections and/or exterminator visits documented	\$1,000	\$2,000
NYCHC § 151.02(c)	Failure to comply with Department or Commissioner's order; pest management plan not complied with; no notice to tenants posted or provided	\$1,000	\$2,000
NYCHC § 151.02(d)	Failure to comply with Department or Commissioner's order; pest management plan not complied with; pests and/or conditions conducive to pests, access and harborage not eliminated	\$2,000	\$2,000
NYCHC § 151.02(e)	Using pesticides alone in the management of pest infestations	\$300	\$600
NYCHC 151.03(a)	Failure to eliminate standing water with decomposing matter and other conditions conducive to breeding and harborage of mosquitoes: minor violations	\$300	\$600
NYCHC § 151.03(a)	Failure to eliminate standing water with decomposing matter and other conditions conducive to breeding and harborage of mosquitoes: moderate violations	\$600	\$1,200
NYCHC § 151.03(a)	Failure to eliminate standing water with decomposing matter and other conditions		

	conducive to breeding and harborage of mosquitoes: extensive violations	\$1,200	\$2,000
NYCHC § 161.01	Unlawfully keeping/selling/giving a wild or prohibited animal	\$500	\$1,000
NYCHC § 161.01(a)(2)	Displaying/exhibiting wild or prohibited animal without valid permit	\$1,000	\$2,000
NYCHC § 161.01(a)(2)	Failure to comply with animal exhibition conditions imposed by Commissioner	\$1,000	\$2,000
NYCHC § 161.03	Failure to control dogs, other animals to prevent animal nuisance	\$200	\$400
NYCHC § 161.04(b)	Permitting dog to be in public place without collar with currently valid license tag	\$200	\$400
NYCHC § 161.05	Permitting dog to be in public place without leash or other restraint	\$200	\$400
NYCHC § 161.06	Dogs or cats not vaccinated or treated prior to change in ownership	\$500	\$1,000
NYCHC § 161.09(a)	Operating a grooming parlor, boarding kennel, training establishment, or pet shop selling animals other than dogs or cats without a currently valid permit	\$1,000	\$2,000
NYCHC § 161.09(b)	Constructing or operating an animal shelter without a currently valid permit	\$1,000	\$2,000
NYCHC § 161.09(d)	Operating a commercial stable for horses without a currently valid permit	\$1,000	\$2,000
NYCHC § 161.09(e)	Selling animals whose possession is prohibited by NYCHC 161.01	\$1,000	\$2,000
NYCHC § 161.09(f)	No certificate of completion of small animal care and handling course	\$400	\$800
NYCHC § 161.09(g)	Guard dog not registered with Department	\$1,000	\$2,000
NYCHC § 161.09(g)(1)	Guard dog not microchipped	\$200	\$400
NYCHC § 161.09(g)(2)	Guard dog warning sign not posted	\$500	\$1,000
NYCHC § 161.13	Self-inspection reports not maintained or provided to the Department	\$200	\$400
NYCHC § 161.15(a)	Animal handling/care activity in residential/dwelling unit	\$1,000	\$2,000
NYCHC § 161.15(b)	Failure to comply with license requirements before transferring dog ownership	\$500	\$1,000
NYCHC § 161.15(c)	Failure to maintain or submit required records	\$500	\$1,000
NYCHC § 161.15(e)	Failure to maintain vaccination records	\$250	\$500
NYCHC § 161.15(f)	Using cage, box or other dryer with heating element that is not hand-held	\$200	\$400
NYCHC § 161.17	Dogs, cats over 3 months not kept in individual cages	\$200	\$400

NYCHC § 161.21(b)	Exposed stable surfaces not kept clean	\$200	\$400
NYCHC § 161.21(f)	Manure, other stable refuse not maintained in sanitary manner	\$200	\$400
NYCHC § 161.21(h)	Stable yard not kept clean, not graded to prevent accumulation of liquid wastes	\$200	\$400
NYCHC § 161.21(i)	Own, board, use, bring into NYC a horse not vaccinated against rabies	\$500	\$1,000
NYCHC § 161.23(a)	Shelter released dog or cat without required sterilization	\$500	\$500
NYCHC § 165.05	Operating a bathing establishment without a valid permit	\$1,000	\$2,000
NYCHC § 165.05	Construction, addition or alteration to pool not approved	\$500	\$1,000
NYCHC § 165.11(b)(1)(F)	Unprotected electrical circuits/wiring visible within 10 feet of the pool	\$750	\$1,500
NYCHC § 165.11(b)(1)(O)	Overcrowding of operation unit observed, resulting in poor bather supervision	\$1,000	\$2,000
NYCHC § 165.11(b)(1)(S)	Any other condition determined by the Department to be dangerous to life or health	\$750	\$1,500
NYCHC § 165.11(b)(3)(F)	Unprotected electrical circuits/wiring visible within 10 feet of the spray pad	\$750	\$1,500
NYCHC § 165.11(b)(3)(M)	Any other condition determined by the Department to be dangerous to life or health	\$750	\$1,500
NYCHC § 165.15(b)(1)	Valid Pool Operator Certificate not provided	\$500	\$1,000
NYCHC § 165.15(b)(2)	Qualified aquatic supervisory staff not provided	\$1,000	\$2,000
NYCHC § 165.15(c)	Direct supervision of bathers not provided; adequate number of aquatic supervisory staff not on duty	\$1,000	\$2,000
NYCHC § 165.17(a)	Lifesaving equipment not present at pool deck	\$750	\$1,500
NYCHC § 165.17(a)	Lifesaving equipment inadequate	\$500	\$1,000
NYCHC § 165.17(b)	Elevated lifeguard chairs not meeting necessary requirements	\$500	\$1,000
NYCHC § 165.17(c)	Emergency phone and contacts not immediately accessible within 300 feet unimpeded distance of the pool water	\$500	\$1,000
NYCHC § 165.19	Pool Safety Plan not developed, implemented, or kept updated	\$500	\$1,000
NYCHC § 165.21(i)	Minimum required water depth of 8 feet is not met where diving is allowed	\$500	\$1,000
NYCHC § 165.23(a)	Minimum disinfectant residual levels not maintained or disinfectant residuals in exceedance	\$1,000	\$2,000

NYCHC § 165.23(a)	Chemicals or methods of application not approved	\$750	\$1,500
NYCHC § 165.23(a)(2)	Spa pool not superchlorinated adequately	\$500	\$1,000
NYCHC § 165.23(c)	Test kits or testing are inadequate or not provided	\$750	\$1,500
NYCHC § 165.25(b)(1)	Pool bottom or main drain grate not visible	\$750	\$1,500
NYCHC § 165.25(c)	Inadequate physical water quality; pool sides not free of sediment or soil, visible floating matter observed on pool water surface and/or spray pad treatment tank water surface	\$750	\$1,500
NYCHC § 165.25(d)	Water bacteriological sample results are in exceedance	\$500	\$1,000
NYCHC § 165.27(a)(1)	Pool bottom or sides not clean, water surface not free from foreign matter	\$750	\$1,500
NYCHC § 165.27(a)(5)	Deck obstructed; not clean; standing water present	\$500	\$1,000
NYCHC § 165.27(a)(6)	Spa pool not cleaned adequately	\$500	\$1,000
NYCHC § 165.27(a)(7)	Glass or sharp objects observed in pool or on deck area	\$750	\$1,500
NYCHC § 165.27(a)(10)	Safety ropes not observed to be kept in place when pool is not being used exclusively for lap swimming or competition	\$500	\$1,000
NYCHC § 165.27(a)(11)	Use of starting blocks not restricted to competitive swimming or training activities	\$500	\$1,000
NYCHC § 165.27(a)(14)	Anti-siphonage devices not provided where required on pool deck	\$500	\$1,000
NYCHC § 165.27(a)(15)	Minimum water depth and/or clearances not met for where diving boards are present	\$500	\$1,000
NYCHC § 165.27(g)	Protocol for out of service pools inadequate	\$500	\$1,000
NYCHC § 165.27(h)	Sauna room not in a sanitary condition	\$500	\$1,000
NYCHC § 165.29(b)	Chemical feed equipment not properly maintained	\$500	\$1,000
NYCHC § 165.29(b)	Filtration and disinfection equipment not continuously operated	\$750	\$1,500
NYCHC § 165.29(c)	Pool inlets do not provide adequate circulation	\$500	\$1,000
NYCHC § 165.29(d)	Main drain grate not securely in place, in disrepair, or otherwise inadequate	\$1,000	\$2,000
NYCHC § 165.29(g)	Pool water level not maintained at level that allows for effective surface skimming; overflow system or skimmers inadequate; weirs, valves, baskets not maintained	\$500	\$1,000

NYCHC § 165.29(i)	Flow meters not installed or maintained	\$500	\$1,000
NYCHC § 165.29(k)(2)	Portable electrical devices within reach of bathers	\$500	\$1,000
NYCHC § 165.29(k)(5)	Lighting not provided or inadequate where night swimming is permitted	\$500	\$1,000
NYCHC § 165.31(a)	Chemicals improperly labeled, stored or handled	\$500	\$1,000
NYCHC § 165.41(k)	Continuous deck not provided	\$500	\$1,000
NYCHC § 165.41(l)	Pool fencing, self-closing or self-latching gates or doors not properly installed or maintained	\$500	\$1,000
NYCHC § 165.41(l)	Adequate pool enclosure not provided	\$750	\$1,500
NYCHC § 165.41(o)	Depth markings are deficient, or inaccurate or not present	\$750	\$1,500
NYCHC § 165.41(o)(3)	Safety lines are inadequate or not provided	\$500	\$1,000
NYCHC § 165.41(q)	Deck slides not labeled to indicate compliance with the safety requirements	\$500	\$1,000
NYCHC § 165.41(q)	Minimum water depth and/or clearances not met for deck slides	\$500	\$1,000
NYCHC § 165.41(s)	Minimum water depth and/or clearances not met for starting blocks	\$500	\$1,000
NYCHC § 165.41(s)	The required water depth of 6 feet is not provided where starting blocks are present	\$500	\$1,000
NYCHC § 165.41(t)	Equipment or appurtenances not maintained; ladders, steps not provided; structural defects	\$500	\$1,000
NYCHC § 165.41(w)	Pool with a surface area over 4,000 square feet does not have a readily accessible first aid room	\$500	\$1,000
NYCHC § 165.42(c)	Spray ground sewage system inadequate	\$500	\$1,000
NYCHC § 165.42(c)(4)	Gratings covering the spray ground drain not the right size or not installed properly	\$1,000	\$2,000
NYCHC § 165.42(d)(5)	Anti-siphonage devices not provided where required at spray ground	\$500	\$1,000
NYCHC § 165.42(g)	Spray ground enclosure not provided or inadequate	\$750	\$1,500
NYCHC § 165.42(g)	Spray ground fencing, self-closing or self-latching gates or doors not properly installed or maintained	\$500	\$1,000
NYCHC § 165.43(a)	Adequate potable water supply not provided	\$750	\$1,500
NYCHC § 165.43(a)(2)	Facility is not free from cross-connections between potable water supply and swimming	\$1,000	\$2,000

	pool water or between sewage system and filter backwash systems		
NYCHC § 165.43(b)	Pool sewage system inadequate	\$500	\$1,000
NYCHC § 165.45(a)	Turnover rate inadequate	\$500	\$1,000
NYCHC § 165.45(b)	Appropriate enclosure or room not provided for equipment and chemicals	\$500	\$1,000
NYCHC § 165.45(b)	Inadequate space, clearance and storage provided for the water treatment equipment; schematics not provided for the water treatment system	\$500	\$1,000
NYCHC § 165.45(i)	Filters improperly operated or maintained	\$500	\$1,000
NYCHC § 165.45(l)(7)	Gas chlorinator improperly housed, ventilated, safety equipment not provided	\$500	\$1,000
NYCHC § 165.45(l)(9)	Spray ground UV disinfection inadequate	\$500	\$1,000
NYCHC § 165.45(p)	Spray ground treatment tank inadequate	\$500	\$1,000
NYCHC § 165.45(p)(9)	Spray ground disinfection and chemical feeders inadequate	\$500	\$1,000
NYCHC § 165.47(a)	20' overhead clearance between pool and electrical wires not provided	\$750	\$1,500
NYCHC § 165.47(a)	Emergency lighting is not provided or not adequate	\$750	\$1,500
NYCHC § 165.47(a)	Lighting conditions inadequate, surface glare and reflection not prevented	\$500	\$1,000
NYCHC § 165.53(g)	Spa alarm system not provided, inoperable, or not operating in correct range	\$1,000	\$2,000
NYCHC § 165.53(g)	Spa manual timer not provided for agitation pump	\$1,000	\$2,000
NYCHC § 165.53(g)	Spa pool water temperature exceeds 104° F (40° C)	\$750	\$1,500
NYCHC § 165.53(h)	Spa emergency switch not provided or not functional	\$1,000	\$2,000
NYCHC § 165.55	Physical therapy pool improperly operated or not maintained	\$500	\$1,000
NYCHC § 165.57	Moveable bottom pool improperly operated or not maintained	\$500	\$1,000
NYCHC § 165.59	White water slide improperly operated or not maintained	\$500	\$1,000
NYCHC § 165.61	Wave pool improperly operated or not maintained	\$500	\$1,000
NYCHC § 165.63(a)	Sauna temperature exceeds 194°F (90°C)	\$750	\$1,500
NYCHC § 165.63(a)	Steam temperature exceeds 120°F (49°C)	\$750	\$1,500
NYCHC § 165.63(b)	Sauna or steam room door not free swinging or does not swing out	\$750	\$1,500

NYCHC § 165.63(b)	Sauna or steam room viewing window not provided	\$750	\$1,500
NYCHC § 165.63(c)	Sauna or steam room monitoring not provided or not adequate	\$750	\$1,500
NYCHC § 165.63(e)	Sauna or steam room alarm not provided or not adequate	\$1,000	\$2,000
NYCHC § 165.63(e)	Sauna or steam room alarm system not tamper-proof	\$750	\$1,500
NYCHC § 167.05(a)	Operating a bathing beach without a permit	\$1,000	\$2,000
NYCHC § 167.05(c)	Operating a bathing beach with an expired permit	\$1,000	\$2,000
NYCHC § 167.09(a)	Operating when ordered closed by the Department	\$750	\$1,500
NYCHC § 167.09(a)(13)	Any other condition determined to be a Public Health Hazard by the department (e.g., sewage/wastewater, medical waste, petroleum or other hazardous materials in beach area)	\$500	\$1,000
NYCHC § 167.19(a)	Failure to provide adequate number of lifeguard(s) or level of supervision	\$1,000	\$2,000
NYCHC § 167.19(a)(4)	Failure to post signs indicating "No Lifeguard on Duty - Swimming and Bathing Prohibited" at every entrance when lifeguard not on duty	\$1,000	\$2,000
NYCHC § 167.19(c)(1)	Failure to provide required qualifications and certificates	\$750	\$1,500
NYCHC § 167.21(a)(1)	1 Rescue tube, torpedo buoy, or pocket mask for each active lifeguard not available or accessible	\$750	\$1,500
NYCHC § 167.21(a)(2)	Rescue Board or boat not provided and/or not located as identified in the approved Beach Safety Plan	\$750	\$1,500
NYCHC § 167.21(a)(3)	Spine board not provided and/or not located as identified in the approved Beach Safety Plan	\$750	\$1,500
NYCHC § 167.21(a)(4)	First Aid Kit not provided and/or not located as identified in the approved Beach Safety Plan	\$750	\$1,500
NYCHC § 167.21(c)(1)	Lifeguard chairs not located as indicated on the approved Beach Safety Plan	\$750	\$1,500
NYCHC § 167.21(c)(2)	Each lifeguard chair not provided with a whistle or megaphone and an umbrella or sunshade	\$750	\$1,500
NYCHC § 167.21(d)	Emergency contact list and/or radio/telephone not available	\$750	\$1,500

NYCHC § 167.21(e)	Emergency care/first aid room not provided (applies only to beaches able to accommodate 500 bathers)	\$750	\$1,500
NYCHC § 167.21(e)	Emergency care/first aid room inadequate (applies only to beaches able to accommodate 500 bathers)	\$750	\$1,500
NYCHC § 167.23(a)	Beach Safety Plan not approved by the Department	\$750	\$1,500
NYCHC § 167.27(a)(1)	Wet weather advisory information sign is not conspicuously posted at the facility at all times	\$750	\$1,500
NYCHC § 167.27(b)(1)	Failure to post Closure signs when beach is closed	\$750	\$1,500
NYCHC § 167.29(b)(3)	Failure to post Pollution Advisory signs when required	\$750	\$1,500
NYCHC § 167.37(d)(2)	Observed diving in areas which do not meet the minimum required water depths	\$750	\$1,500
NYCHC § 167.37(e)	Failure to provide the required depth markers	\$750	\$1,500
NYCHC § 167.37(e)	Failure to provide the required safety lines	\$750	\$1,500
NYCHC § 167.37(f)(1)	Failure to post "No Diving" signs at all diving boards, platforms, piers, floats etc. in any area where the water depth is less than 8 feet	\$750	\$1,500
NYCHC § 167.37(f)(2)(A)	Failure to post signs indicating the maximum number of persons permitted on the beach at any time	\$750	\$1,500
NYCHC § 167.37(f)(2)(B)	Failure to post signs indicating hours of operation for bathing	\$750	\$1,500
NYCHC § 167.37(f)(2)(C)	Failure to post "No Swimming or Bathing" signs adjacent to, approved swimming areas	\$750	\$1,500
NYCHC § 167.37(g)(2)	Presence of electric devices or wiring within 20 ft of water or high-tide level	\$750	\$1,500
NYCHC § 173.13(a)(1)	Leaded paint, other leaded surface coatings, possessed, sold for consumer use; initial	\$250	\$500
NYCHC § 173.13(a)(1)	Leaded paint, other leaded surface coatings, possessed, sold for consumer use; repeat	\$500	\$1,000
NYCHS § 173.14(c)(1)(A)	Commencement notice not filed, not filed timely; initial	\$200	\$400
NYCHC § 173.14(c)(1)(A)	Commencement notice not filed, not filed timely; repeat	\$400	\$800
NYCHC § 173.14(c)(1)(B)	Commencement notice incomplete; initial	\$200	\$400

NYCHC § 173.14(c)(1)(B)	Commencement notice incomplete; repeat	\$400	\$800
NYCHC § 173.14(c)(2)(A)	EPA certified firms, workers not used for abatement work; initial	\$400	\$800
NYCHC § 173.14(c)(2)(A)	EPA certified firms, workers not used for abatement work; repeat	\$800	\$1,600
NYCHC 173.14(c)(2)(B)(i)(aa)	EPA certified firms not used for non-abatement work; initial	\$350	\$700
NYCHC § 173.14(c)(2)(B)(i)(aa)	EPA certified firms not used for non-abatement work; repeat	\$700	\$1,400
NYCHC 173.14(c)(2)(B)(i)(bb)	Trained workers not used for non-abatement work; initial	\$400	\$800
NYCHC § 173.14(c)(2)(B)(i)(bb)	Trained workers not used for non-abatement work; repeat	\$800	\$1,600
NYCHC 173.14(c)(2)(B)(i)(cc)	Clearance dust wipe personnel not trained for non-abatement work; initial	\$300	\$600
NYCHC § 173.14(c)(2)(B)(i)(cc)	Clearance dust wipe personnel not trained for non-abatement work; repeat	\$600	\$1,200
NYCHC § 173.14(c)(2)(B)(ii)(aa)	Trained workers not used for non-abatement work (2-100ft ²); initial	\$400	\$800
NYCHC § 173.14(c)(2)(B)(ii)(aa)	Trained workers not used for non-abatement work (2-100ft ²); repeat	\$800	\$1,600
NYCHC § 173.14(c)(2)(B)(ii)(bb)	Trained clearance dust wipe personnel not used for non-abatement work (2-100ft ²); initial	\$300	\$600
NYCHC § 173.14(c)(2)(B)(ii)(bb)	Trained clearance dust wipe personnel not used for non-abatement work (2-100ft ²); repeat	\$600	\$1,200
NYCHC § 173.14(c)(2)(B)(iii)	Trained clearance dust wipe personnel not used for turnover work; initial	\$1,000	\$2,000
NYCHC § 173.14(c)(2)(B)(iii)	Trained clearance dust wipe personnel not used for turnover work; repeat	\$2,000	\$2,000
NYCHC § 173.14(c)(3)(A)	Lead paint remediation records not maintained; initial	\$500	\$1,000
NYCHC § 173.14(c)(3)(A)	Lead paint remediation records not maintained; repeat	\$1,000	\$2,000
NYCHC § 173.14(d)(1)(A)	Failure to control lead dust dispersal; initial	\$650	\$1,300
NYCHC § 173.14(d)(1)(A)	Failure to control lead dust dispersal; repeat	\$1,300	\$2,000
NYCHC § 173.14(d)(1)(B)	No clean changing area; area not segregated from work area; initial	\$200	\$400
NYCHC § 173.14(d)(1)(B)	No clean changing area; area not segregated from work area; repeat	\$400	\$800
NYCHC § 173.14(d)(2)(A)	Prohibited method used: open flame burning/torching; initial	\$950	\$1,900
NYCHC § 173.14(d)(2)(A)	Prohibited method used: open flame burning/torching; repeat	\$1,900	\$2,000

NYCHC § 173.14(d)(2)(B)	Prohibited method used: machine sanding/grinding without HEPA attachment; initial	\$950	\$1,900
NYCHC § 173.14(d)(2)(B)	Prohibited method used: machine sanding/grinding without HEPA attachment; repeat	\$1,900	\$2,000
NYCHC § 173.14(d)(2)(C)	Prohibited method used: abrasive blasting without HEPA attachment; initial	\$950	\$1,900
NYCHC § 173.14(d)(2)(C)	Prohibited method used: abrasive blasting without HEPA attachment; repeat	\$1,900	\$2,000
NYCHC § 173.14(d)(2)(D)	Prohibited method used: heat gun over 1100°F or paint charring; initial	\$950	\$1,900
NYCHC § 173.14(d)(2)(D)	Prohibited method used: heat gun over 1100°F or paint charring; repeat	\$1,900	\$2,000
NYCHC § 173.14(d)(2)(E)	Prohibited method used: dry sanding; initial	\$950	\$1,900
NYCHC § 173.14(d)(2)(E)	Prohibited method used: dry sanding; repeat	\$1,900	\$2,000
NYCHC § 173.14(d)(2)(F)	Prohibited method used: improper use of paint stripper; initial	\$950	\$1,900
NYCHC § 173.14(d)(2)(F)	Prohibited method used: improper use of paint stripper; repeat	\$1,900	\$2,000
NYCHC § 173.14(d)(3)(A)	Improper use of tools or materials; initial	\$350	\$750
NYCHC § 173.14(d)(3)(A)	Improper use of tools or materials; repeat	\$700	\$1,400
NYCHC § 173.14(d)(3)(B)	Surfaces not sealed, repainted after work; initial	\$300	\$600
NYCHC § 173.14(d)(3)(B)	Surfaces not sealed, repainted after work; repeat	\$600	\$1,200
NYCHC § 173.14(d)(3)(C)	Underlying conditions not repaired; initial	\$350	\$700
NYCHC § 173.14(d)(3)(C)	Underlying conditions not repaired; repeat	\$700	\$1,400
NYCHC § 173.14(d)(3)(D)	Painted doors, windows not adjusted; initial	\$200	\$400
NYCHC § 173.14(d)(3)(D)	Painted doors, windows not adjusted; repeat	\$400	\$800
NYCHC § 173.14(d)(3)(E)	Required work area prep not completed prior to commencement of remediation; initial	\$200	\$400
NYCHC § 173.14(d)(3)(E)	Required work area prep not completed prior to commencement of remediation; repeat	\$400	\$800
NYCHC § 173.14(d)(4)	Failure to offer relocation to tenant; initial	\$200	\$400
NYCHC § 173.14(d)(4)	Failure to offer relocation to tenant; repeat	\$400	\$800
NYCHC § 173.14(e)(1)(A)(i)	Notice of work commencement not posted at dwelling, unit entrances; initial	\$500	\$1,000
NYCHC § 173.14(e)(1)(A)(i)	Notice of work commencement not posted at dwelling, unit entrances; repeat	\$1,000	\$2,000
NYCHC § 173.14(e)(1)(A)(ii)	Warning signs not posted; initial	\$1,000	\$2,000

NYCHC § 173.14(e)(1)(A)(ii)	Warning signs not posted; repeat	\$2,000	\$2,000
NYCHC § 173.14(e)(1)(B)	Occupant belongings not removed, protected before commencing work; initial	\$200	\$400
NYCHC § 173.14(e)(1)(B)	Occupant belongings not removed, protected before commencing work; repeat	\$400	\$800
NYCHC § 173.14(e)(1)(C)	Forced air vents not sealed; initial	\$400	\$800
NYCHC § 173.14(e)(1)(C)	Forced air vents not sealed; repeat	\$800	\$1,600
NYCHC § 173.14(e)(1)(D)	Work area entrance not, inadequately sealed off; initial	\$400	\$800
NYCHC § 173.14(e)(1)(D)	Work area entrance not, inadequately sealed off; repeat	\$800	\$1,600
NYCHC § 173.14(e)(1)(E)	Floors not covered; initial	\$400	\$800
NYCHC § 173.14(e)(1)(E)	Floors not covered; repeat	\$800	\$1,600
NYCHC § 173.14(e)(1)(F)	Windows, other openings not required for ventilation, not sealed; initial	\$400	\$800
NYCHC § 173.14(e)(1)(F)	Windows, other openings not required for ventilation, not sealed; repeat	\$800	\$1,600
NYCHC § 173.14(e)(1)(G)	Occupants not instructed to avoid work area; initial	\$200	\$400
NYCHC § 173.14(e)(1)(G)	Occupants not instructed to avoid work area; repeat	\$400	\$800
NYCHC § 173.14(e)(1)(H)	Hazardous materials not labeled; material safety data sheets not available; initial	\$350	\$700
NYCHC § 173.14(e)(1)(H)	Hazardous materials not labeled; material safety data sheets not available; repeat	\$700	\$1,400
NYCHC § 173.14(e)(1)(I)	Clean up and lead dust clearance testing not conducted as required; initial	\$900	\$1,800
NYCHC § 173.14(e)(1)(I)	Clean up and lead dust clearance testing not conducted as required; repeat	\$1,800	\$2,000
NYCHC § 173.14(e)(1)(I)(i)	Daily clean up not performed; initial	\$700	\$1,400
NYCHC § 173.14(e)(1)(I)(i)	Daily clean up not performed; repeat	\$1,400	\$2,000
NYCHC § 173.14(e)(1)(I)(i)(aa)	Daily clean up: large debris improperly disposed of; initial	\$700	\$1,400
NYCHC § 173.14(e)(1)(I)(i)(aa)	Daily clean up: large debris improperly disposed of ; repeat	\$1,400	\$2,000
NYCHC § 173.14(e)(1)(I)(i)(bb)	Daily clean up: small debris improperly disposed of; initial	\$650	\$1,300
NYCHC § 173.14(e)(1)(I)(i)(bb)	Daily clean up: small debris improperly disposed of; repeat	\$1,300	\$2,000
NYCHC § 173.14(e)(1)(I)(i)(cc)	Daily clean up: adjacent areas to work areas not, improperly cleaned; initial	\$650	\$1,300
NYCHC § 173.14(e)(1)(I)(i)(cc)	Daily clean up: adjacent areas to work areas not, improperly cleaned; repeat	\$1,300	\$2,000

NYCHC §173.14(e)(1)(I)(i)(dd)	Hazardous materials, contaminated supplies improperly stored; initial	\$650	\$1,300
NYCHC § 173.14(e)(1)(I)(i)(dd)	Hazardous materials, contaminated supplies improperly stored; repeat	\$1,300	\$2,000
NYCHC 173.14(e)(1)(I)(ii)	Final clean up not performed; initial	\$900	\$1,800
NYCHC § 173.14(e)(1)(I)(ii)	Final clean up not performed; repeat	\$1,800	\$2,000
NYCHC § 173.14(e)(1)(I)(ii)(aa)	Final clean up inadequate: failure to wait one hour after work completed; initial	\$300	\$600
NYCHC § 173.14(e)(1)(I)(ii)(aa)	Final clean up inadequate: failure to wait one hour after work completed; repeat	\$600	\$1,200
NYCHC § 173.14(e)(1)(I)(ii)(bb)	Final clean up inadequate: no misting, sweeping, removal or sheeting; initial	\$600	\$1,200
NYCHC § 173.14(e)(1)(I)(ii)(bb)	Final clean up inadequate: no misting, sweeping, removal or sheeting; repeat	\$1,200	\$2,000
NYCHC § 173.14(e)(1)(I)(ii)(cc)	Final cleanup inadequate: no, improper first HEPA vacuuming; initial	\$650	\$1,300
NYCHC § 173.14(e)(1)(I)(ii)(cc)	Final cleanup inadequate: no, improper first HEPA vacuuming; repeat	\$1,300	\$2,000
NYCHC § 173.14(e)(1)(I)(ii)(dd)	Final cleanup inadequate: surfaces not, improperly washed; initial	\$650	\$1,300
NYCHC § 173.14(e)(1)(I)(ii)(dd)	Final cleanup inadequate: surfaces not, improperly washed; repeat	\$1,300	\$2,000
NYCHC § 173.14(e)(1)(I)(ii)(ee)	Final cleanup inadequate: no or improper second HEPA vacuuming; initial	\$650	\$1,300
NYCHC § 173.14(e)(1)(I)(ii)(ee)	Final cleanup inadequate: no or improper second HEPA vacuuming; repeat	\$1,300	\$2,000
NYCHC § 173.14(e)(1)(I)(ii)(ff)	Final cleanup inadequate: surfaces not inspected, re-cleaned if necessary; initial	\$650	\$1,300
NYCHC § 173.14(e)(1)(I)(ii)(ff)	Final cleanup inadequate: surfaces not inspected, re-cleaned if necessary; repeat	\$1,300	\$2,000
NYCHC 173.14(e)(1)(I)(iii)	No third party final inspection; initial	\$350	\$700
NYCHC § 173.14(e)(1)(I)(iii)	No third party final inspection; repeat	\$700	\$1,400
NYCHC § 173.14(e)(1)(I)(iv)	Dust wipe clearance test results not submitted to occupants, Department; initial	\$400	\$800
NYCHC § 173.14(e)(1)(I)(iv)	Dust wipe clearance test results not submitted to occupants, Department; repeat	\$800	\$1,600
NYCHC § 173.14(e)(1)(J)	Inadequate protection for occupants having access to work areas; initial	\$600	\$1,200
NYCHC § 173.14(e)(1)(J)	Inadequate protection for occupants having access to work areas; repeat	\$1,200	\$2,000
NYCHC § 173.14(e)(2)(A)	Warning signs not posted (2-100ft²); initial	\$1,000	\$2,000
NYCHC § 173.14(e)(2)(A)	Warning signs not posted (2-100ft²); repeat	\$2,000	\$2,000

NYCHC § 173.14(e)(2)(B)	Occupant belongings not removed, protected before commencing work (2-100ft ²); initial	\$500	\$1,000
NYCHC § 173.14(e)(2)(B)	Occupant belongings not removed, protected before commencing work (2-100ft ²); repeat	\$1,000	\$2,000
NYCHC § 173.14(e)(2)(C)	Floors not covered (2-100ft ²); initial	\$700	\$1,400
NYCHC § 173.14(e)(2)(C)	Floors not covered (2-100ft ²); repeat	\$1,400	\$2,000
NYCHC § 173.14(e)(2)(D)	Windows, other openings not required for ventilation not sealed (2-100ft ²); initial	\$700	\$1,400
NYCHC § 173.14(e)(2)(D)	Windows, other openings not required for ventilation not sealed (2-100ft ²); repeat	\$1,400	\$2,000
NYCHC § 173.14(e)(2)(F)	Hazardous materials not labeled; material safety data sheets not available (2-100ft ²); initial	\$350	\$700
NYCHC § 173.14(e)(2)(F)	Hazardous materials not labeled; material safety data sheets not available (2-100ft ²); repeat	\$700	\$1,400
NYCHC § 173.14(e)(2)(G)	Failure to adequately clean up, collect dust wipes (2-100ft ²); initial	\$400	\$800
NYCHC § 173.14(e)(2)(G)	Failure to adequately clean up, collect dust wipes (2-100ft ²); repeat	\$800	\$1,600
NYCHC § 173.14(e)(2)(H)	Improper temporary access protection (2-100ft ²); initial	\$600	\$1,200
NYCHC § 173.14(e)(2)(H)	Improper temporary access protection (2-100ft ²); repeat	\$1,200	\$2,000
NYCHC § 173.14(e)(3)(A)	Failure to properly prepare work area, work safely (turnover); initial	\$350	\$750
NYCHC § 173.14(e)(3)(A)	Failure to properly prepare work area, work safely (turnover); repeat	\$700	\$1,400
NYCHC § 173.14(e)(3)(B)	Failure to perform adequate clean up (turnover); initial	\$400	\$800
NYCHC § 173.14(e)(3)(B)	Failure to perform adequate clean up (turnover); repeat	\$800	\$1,600
NYCHC § 173.14(e)(3)(C)	Failure to perform adequate clearance dust wipes (turnover); initial	\$400	\$800
NYCHC § 173.14(e)(3)(C)	Failure to perform adequate clearance dust wipes (turnover); repeat	\$800	\$1,600
NYCHC § 175.03(b)	Failure to report radiation illness or injury	\$1,000	\$2,000
NYCHC § 175.03(c) – (e)	Unauthorized person directed, applied or supervised the application of radiation to a human being	\$1,000	\$2,000
NYCHC § 175.03(f)	Failure to comply with requirements of radiologic technologist licensure established by NYS DOH	\$500	\$1,000

NYCHC § 175.04(a)	Use of prohibited radiation producing equipment	\$1,000	\$2,000
NYCHC § 175.04(b)	Engagement in activity prohibited by New York City Health Code	\$1,000	\$2,000
NYCHC § 175.04(c)	Use of portable or mobile x-ray unit for routine clinical x-ray exams	\$500	\$1,000
NYCHC § 175.07(a)	Possession or use of radioactive materials did not comply with license condition	\$1,000	\$2,000
NYCHC § 175.09(a)(1)	Failure to develop an adequate radiation protection program	\$1,000	\$2,000
NYCHC § 175.09(a)(3)	Failure to provide notification of medical event in accordance with §§175.27 through 175.30	\$1,000	\$2,000
NYCHC § 175.09(b)	Failure to implement procedures and engineering controls to achieve occupational doses and doses to the public that are ALARA	\$1,000	\$2,000
NYCHC § 175.09(c)	Failure to provide a radiation safety officer pursuant to §175.12	\$500	\$1,000
NYCHC § 175.09(d)	Quality assurance program not implemented	\$1,000	\$2,000
NYCHC § 175.09(e)	Medical center, hospital or university failed to provide for a radiation safety committee or radiation safety committee inadequate	\$500	\$1,000
NYCHC § 175.09(f)	Failure to ensure that radiation equipment is used only for procedures for which it is designed by individuals licensed and qualified to operate	\$1,000	\$2,000
NYCHC § 175.09(g)	Failure to perform acceptance testing by an individual competent to perform such testing prior to human use	\$1,000	\$2,000
NYCHC § 175.09(h)	Failure to review radiation protection program at least every 12 months	\$500	\$1,000
NYCHC § 175.10(a),(b)	Radiation safety officer lacks credentials or experience, or does not demonstrate competency in required areas	\$500	\$1,000
NYCHC § 175.10(c),(d)	Radiation safety officer is not properly certified or is not a professional practitioner within an applicable scope of professional practice	\$500	\$1,000
NYCHC § 175.10(e)	Radiation safety officer lacks credentials for non-human use radiation	\$500	\$1,000

NYCHC § 175.11(d)	Failure to make radiation dose information available to workers	\$500	\$1,000
NYCHC § 175.11(e) - (g)	Failure to provide Department access to equipment for inspection; Failure to provide Department consultation with individuals during inspection; Failure to maintain worker conduct during an inspection; Discharge or discrimination of employee as a result of making a complaint	\$1,000	\$2,000
NYCHC § 175.12(b)(1)	Quality assurance manual does not exist or is not adequate or is not adequately followed at this facility	\$1,000	\$2,000
NYCHC § 175.12(b)(2)	Failure to maintain written records documenting QA and audit activities since previous inspection	\$500	\$1,000
NYCHC § 175.12(b)(4)	Failure of hospital to conduct oversight of quality assurance program by medical physicist; Inadequate oversight of quality assurance program	\$500	\$1,000
NYCHC § 175.12(b)(5)(6)	Failure to establish neonatal QA program; neonatal QA program inadequate	\$1,000	\$2,000
NYCHC § 175.12(c) - (e)	X-ray film processing facilities are inadequate	\$500	\$1,000
NYCHC § 175.12(f)	Failure to establish quality assurance procedures for CR or DDR	\$1,000	\$2,000
NYCHC § 175.12(g)	Excepting dental, podiatric, veterinary, bone densitometer and mammography facilities: Failure to implement quality assurance program for primary diagnostic monitor; Quality assurance program for primary diagnostic monitor is inadequate	\$1,000	\$2,000
NYCHC § 175.13(a)	Failure to control occupational dose to individual adults	\$1,000	\$2,000
NYCHC § 175.13(b)	Failure to properly determine the occupational dose to individual adults	\$1,000	\$2,000
NYCHC § 175.13(c),(d)	Failure to reduce the dose that an individual may be allowed in the current year commensurate with the dose received while employed elsewhere	\$1,000	\$2,000
NYCHC § 175.14(a),(b)	Failure to control dose to members of the public	\$1,000	\$2,000

NYCHC § 175.15(a),(b)	Failure to conduct surveys of radiation levels in unrestricted areas; Failure to show by measurement or calculation that the total effective dose to an individual likely to receive the highest dose does not exceed the annual dose limit	\$500	\$1,000
NYCHC § 175.16	Failure to conduct a radiation protection survey	\$500	\$1,000
NYCHC § 175.16(a)	Failure to calibrate equipment used for quantitative radiation measurements within 12 months, or more frequently where specified in this code	\$500	\$1,000
NYCHC § 175.16(b)	Dosimetry processor lacks required credentials	\$500	\$1,000
NYCHC § 175.16(c)	Failure to ensure that adequate precautions were taken to prevent a deceptive exposure of an individual monitoring device	\$500	\$1,000
NYCHC § 175.16(d)	Removal of an exposure from an individual's exposure record without prior authorization from the Department	\$500	\$1,000
NYCHC § 175.17(b)	Failure to monitor occupational exposure to radiation sources; Failure to supply and require the use of individual monitoring devices	\$500	\$1,000
NYCHC § 175.18(a) - (d)	Failure to ensure proper positioning and use of individual monitoring devices	\$500	\$1,000
NYCHC § 175.20(a),(b)	Failure to maintain records of the radiation protection program until the Department terminates the registration or certified registration requiring the record	\$500	\$1,000
NYCHC § 175.21(a-f)	Failure to determine the occupational radiation dose received during the current year for an individual who is likely to receive an occupational dose requiring monitoring pursuant to §175.17; Failure to request in writing the records of cumulative occupational radiation dose	\$500	\$1,000
NYCHC § 175.22(a-e)	Failure to maintain adequate records of dose for individuals for whom monitoring is required	\$500	\$1,000
NYCHC § 175.23(a),(b)	Failure to maintain records sufficient to demonstrate compliance with dose limit for individual members of the public; Failure to retain records	\$500	\$1,000

	until registration is terminated by the Department		
NYCHC § 175.24(a)-(c)	Failure to maintain legible, authenticated dose records in acceptable format; Failure to provide adequate safeguards against loss	\$500	\$1,000
NYCHC § 175.25(a-f)	Failure to immediately report an event that may have caused or threatens to cause a dose of radiation to an individual exceeding limits; Failure to report within 24 hours the loss of control of a registered source that may have caused or threatens to cause a dose of radiation to an individual exceeding limits	\$1,000	\$2,000
NYCHC § 175.26(a),(b)	Failure to provide a copy of a report of an event made to the Department involving a dose or threatened dose of radiation to the individual who received the dose; Failure to comply with Department order to make available to individual appropriate medical evaluation services or appropriate tests and to furnish to the Department a copy of the reports	\$1,000	\$2,000
NYCHC § 175.40(a) – (c)	Failure to obtain a current certificate of registration prior to establishing, maintaining or operating any radiation installation with any radiation equipment in operable condition; failure to obtain a current certificate of registration prior to installing radiation equipment which is intended to be used; Application of x-rays to diagnose or treat a medical condition without a valid, non-expired certificate of registration	\$1,000	\$2,000
NYCHC § 175.40(g)	Dental, podiatric or veterinary facility failed to apply for a new certificate of registration prior to beginning facility operation; Facility commenced diagnostic clinical examinations without current registration or approval of the Department	\$1,000	\$2,000
NYCHC § 175.40(h)	Failure to apply for renewal of certificate of registration at least 30 days prior to expiration of registration	\$500	\$1,000
NYCHC § 175.42(a)-(c)	Operator's protection survey not available or adequate	\$500	\$1,000
NYCHC § 175.44(a)	Technical report is not signed as required by qualified medical physicist (or CRESO in case of reports prepared by CRESO)	\$500	\$1,000

NYCHC § 175.46(a)	Stationary radiographic system does not have the x-ray control, including the exposure switch, permanently mounted in a protected area so that the operator must remain in that protected area during the entire exposure	\$500	\$1,000
NYCHC § 175.46(d)	Radiation equipment installation does not comply with space requirements of 175.46(d) of Article 175	\$500	\$1,000
NYCHC § 175.46(e)	Radiation equipment installation does not comply with structural requirements	\$500	\$1,000
NYCHC § 175.46(f)	Radiation exposure control placement does not comply with the requirements of section 175.46(f) of Article 175	\$500	\$1,000
NYCHC § 175.46(g)	The viewing system for the control booth does not comply with the requirements of section 175.46(g) of Article 175	\$500	\$1,000
NYCHC § 175.46(h)	Location of exposure switch on mobile equipment is not adequate	\$500	\$1,000
NYCHC § 175.46(i)	The control console for mammographic equipment does not meet the requirements of section 175.46(i) of Article 175	\$500	\$1,000
NYCHC § 175.46(j)	Facility does not have a written policy and procedure in the quality assurance manual that conforms to the manufacturer's recommended care and use policy for lead protective garments; policy is not adhered to on a continual basis; protective garments not checked annually for defects as required; Protective garment not removed from service upon finding of defect	\$500	\$1,000
NYCHC § 175.46(k)	Radiation exposure control system is inadequate	\$1,000	\$2,000
NYCHC § 175.46(l)	X-ray system used for veterinary work not provided with a 2-meter high protective barrier for operator protection, or allow the operator to be at least 2 meters from the tube housing assembly; when animals are held, operator and ancillary personnel not protected by 0.25 mm lead equivalent from scatter radiation and 0.5 mm from the useful beam	\$1,000	\$2,000
NYCHC § 175.47(a)	X-ray equipment not installed and used in accordance with the equipment manufacturer's specifications or other specification (allowed by this subdivision)	\$1,000	\$2,000

NYCHC § 175.47(c)-(f)	Failure to have a written radiation safety program as part of the radiation QA program; radiation safety program is inadequate	\$1,000	\$2,000
NYCHC § 175.47(g)	Individuals operating the x-ray systems do not meet licensure, training and experience qualifications required by the Department	\$1,000	\$2,000
NYCHC § 175.47(h),(i)	Failure to provide a sufficient number of protective apparel and shields; Failure to use auxiliary equipment designed to minimize patient and personnel exposure commensurate with the needed diagnostic information	\$1,000	\$2,000
NYCHC § 175.47(j)	X-ray tube housing or collimating device is held during an exposure	\$1,000	\$2,000
NYCHC § 175.47(k)	Useful x-ray beam not limited to area of clinical interest	\$1,000	\$2,000
NYCHC § 175.47(l)	Entrance Skin Exposure (ESE) not determined for all clinical techniques set; Technique chart is deficient or inadequate	\$500	\$1,000
NYCHC § 175.47(m)	Facility does not have a documented procedure in place for verification of patient identity and exam to be performed	\$1,000	\$2,000
NYCHC § 175.47(n)-(p)	Failure to develop or implement written safety procedures as required by section 175.47(n) of Article 175	\$500	\$1,000
NYCHC § 175.47(q)	Failure to maintain an x-ray patient log book; Inadequate or deficient x-ray patient log book; Failure to report adverse effects of injected contrast agents to NY State Department of Health	\$500	\$1,000
NYCHC § 175.47(r)	Use of x-ray unit sealed by the Department for clinical exam without the approval of the Department	\$1,000	\$2,000
NYCHC § 175.47(t)(1)	Control panel does not indicate the settings	\$500	\$1,000
NYCHC § 175.47(t)(2)	Control panel technique factors not visible from operator's position	\$500	\$1,000
NYCHC § 175.47(t)(3)	Technique factors for the x-ray unit exceed tolerance	\$500	\$1,000
NYCHC § 175.47(u)	HVL exceeds limits (values shown in Table 1 of section 175.47, NYC Health Code)	\$500	\$1,000
NYCHC § 175.47(v)	Visual means not provided on control panel to indicate whether the battery is in a state of charge adequate for proper operation	\$500	\$1,000

NYCHC § 175.47(w)	Facility modified diagnostic x-ray components and systems certified in accordance with 21 CFR Part 1020 modified with non- certified components	\$1,000	\$2,000
NYCHC § 175.47(x)	Multiple tube x-ray having one exposure switch lacks proper controls or display	\$500	\$1,000
NYCHC § 175.47(y) – (aa)	X-ray unit is not maintained in good repair	\$500	\$1,000
NYCHC § 175.48(a)	Failure to perform acceptance testing prior to clinical patient exams; Failure to correct non-compliant acceptance testing issues prior to clinical use; Acceptance testing does not comply with standards of this subdivision	\$1,000	\$2,000
NYCHC § 175.48(b)(1)	Adequate means to terminate x-ray exposure are not provided	\$1,000	\$2,000
NYCHC § 175.48(b)(2)	Automatic exposure control mechanism does not comply with standards of this subdivision	\$500	\$1,000
NYCHC § 175.48(c)	Exposure reproducibility exceeds the standard of this subdivision	\$500	\$1,000
NYCHC § 175.48(d)	Exposure failed the standard for linearity	\$500	\$1,000
NYCHC § 175.48(e)	Radiographic x-ray system does not comply with the field limitation and alignment requirements	\$500	\$1,000
NYCHC § 175.48(f)	Stationary general purpose radiographic x-ray system does not comply with the field limitation and alignment requirements of 175.48(f) of Article 175	\$500	\$1,000
NYCHC § 175.48(g)(1)	Radiographic equipment designed for only one image receptor size at a fixed SID is not provided with means to limit the field at the plane of the image receptor to dimensions no greater than those of the image receptor, and to align the center of the x-ray field with the center of image receptor to within 2 percent of the SID, or is not provided with means to both size and align the x-ray field such that the x-ray field at the plane of the image receptor does not extend beyond the edge of the image receptor	\$500	\$1,000
NYCHC § 175.48(g)(2)	Radiographic system subject to the requirements of 175.48(g)(2), does not comply with the field limitation and alignment requirements of this subdivision	\$500	\$1,000

NYCHC § 175.48(h)(1)-(3)	For a radiographic system that contains Positive Beam Limitation (PBL) that has not been disabled, the PBL system does not function to limit x- ray production as required	\$500	\$1,000
NYCHC § 175.48(h)(4)	PBL system is not adequate	\$500	\$1,000
NYCHC § 175.48(h)(6)	Radiographic system that contains Positive Beam Limitation (PBL) which has been disabled does not comply with standards for manual collimation	\$500	\$1,000
NYCHC § 175.48(i)	The minimum source-skin distance is less than 30 cm, except intraoral dental equipment regardless of clinical or veterinary use	\$1,000	\$2,000
NYCHC § 175.48(j)	Radiation emitted from the x-ray tube exceeds the air kerma limits of 175.48(j)	\$500	\$1,000
NYCHC § 175.48(k)	Failure to use tube stand or mechanical support for portable x-ray system	\$500	\$1,000
NYCHC § 175.48(l)(1)	X-ray image receptor used as recording medium during the x-ray examination does not show substantial evidence of cut-off (beam delineation)	\$1,000	\$2,000
NYCHC § 175.48(l)(2)	Failure to require personnel monitoring for all persons operating mobile or portable x-ray equipment, except hand-held dental x-ray units	\$1,000	\$2,000
NYCHC § 175.48(l)(3),(4) and (6)	Holding of patients or films is not done properly (in accordance with this subdivision)	\$1,000	\$2,000
NYCHC § 175.48(l)(5)	Gonadal shielding is inadequate	\$1,000	\$2,000
NYCHC § 175.49(a)(1)	Operation of dark room is not adequate	\$500	\$1,000
NYCHC § 175.49(a)(2)	Failure to provide adequate initial training and/or annual evaluation for operators	\$500	\$1,000
NYCHC § 175.49(c)	Exposure switch is not of the dead-man type	\$1,000	\$2,000
NYCHC § 175.49(d)	Operator protection is not adequate	\$1,000	\$2,000
NYCHC § 175.49(e)	Holding of PID and tube head	\$1,000	\$2,000
NYCHC § 175.49(f)	QA program inadequate for facility with hand-held intraoral equipment	\$500	\$1,000

NYCHC § 175.49(g)	Beam-on indicators are not adequate	\$500	\$1,000
NYCHC § 175.49(h)	No means are provided at the control panel and at or near the tube housing assembly to indicate which tube is being selected when there are two or more tubes are activated by a common exposure switch	\$500	\$1,000
NYCHC § 175.49(i)	Mechanical support of tube head is not adequate	\$1,000	\$2,000
NYCHC § 175.49(j)	X-ray generator battery charge indicator is not adequate	\$500	\$1,000
NYCHC § 175.49(k)	Technique indicators not indicated	\$500	\$1,000
NYCHC § 175.49(l)	Exposures are not reproducible	\$500	\$1,000
NYCHC § 175.49(m)	Exposure switch is not of the dead-man type	\$1,000	\$2,000
NYCHC § 175.49(n)	kVp accuracy is not adequate	\$500	\$1,000
NYCHC § 175.49(o)(2)	The useful x-ray beam of intraoral dental unit is not limited to the area of clinical interest	\$1,000	\$2,000
NYCHC § 175.49(o)(3)	The useful x-ray beam of extraoral, panoramic and cephalometric units is not limited to the area of clinical interest	\$1,000	\$2,000
NYCHC § 175.49(p)	Beam quality is not adequate	\$500	\$1,000
NYCHC § 175.49(q)(3)	Processing techniques are not adequate	\$500	\$1,000
NYCHC § 175.49(q)(4)	Entrance Skin Exposure (ESE) value is not adequate	\$1,000	\$2,000
NYCHC § 175.49(r)(1)(3)	QA program for CBCT is not adequate	\$500	\$1,000
NYCHC § 175.49(r)(2)	The patient radiation dose for the most common CBCT scan is not adequate	\$500	\$1,000
NYCHC § 175.49(r)(4)(i)	A shielding and operator protection for CBCT equipment is not adequate	\$1,000	\$2,000
NYCHC § 175.49(r)(4)(ii)	Training for operators of CBCT equipment is not adequate	\$500	\$1,000
NYCHC § 175.49(r)(4)(iii)	The communication with a patient during CBCT examination is not adequate	\$500	\$1,000

NYCHC § 175.49(r)(4)(iv)	CBCT patient exams are conducted for cosmetic purposes with no diagnostic value to the patient	\$500	\$1,000
NYCHC § 175.49(r)(4)(v)	Facility failed to have adequate logbook for CBCT exams	\$500	\$1,000
NYCHC § 175.50(a)	Collimation, cut-off, or exposure is not adequate	\$1,000	\$2,000
NYCHC § 175.50(b)	Location of exposure switch is not adequate	\$500	\$1,000
NYCHC § 175.50(c)	Podiatric exposure procedures are inadequate	\$500	\$1,000
NYCHC § 175.51(a)(1)-(2)	The collimation is not adequate for a fixed veterinary x-ray unit	\$500	\$1,000
NYCHC § 175.51(a)(3)	The exposure switch is not adequate for a veterinary x-ray unit	\$1,000	\$2,000
NYCHC § 175.51(b)(1)-(2)	The collimation is not adequate for a portable or mobile veterinary x-ray unit	\$500	\$1,000
NYCHC § 175.51(b)(3)-(4)	The exposure switch is not adequate for a portable or mobile veterinary x-ray unit	\$1,000	\$2,000
NYCHC § 175.51(c)	Veterinary facility failed to comply with Health Code requirements for the dental units	\$500	\$1,000
NYCHC § 175.51(d),(e)	Veterinary facility failed to comply with Health Code requirements for the fluoroscopic units	\$1,000	\$2,000
NYCHC § 175.51(f)(1)	Person(s) other than required for the radiographic procedure is (are) in the radiographic room during exposure	\$1,000	\$2,000
NYCHC § 175.51(f)(6)	Protective garments worn by the fluoroscopist during examination is not adequate	\$1,000	\$2,000
NYCHC § 175.52(a)	Dual-energy X-ray Absorptiometry (DXA) system is not adequately certified, registered and maintained	\$1,000	\$2,000
NYCHC § 175.52(b)	Person other than a professional practitioner did direct or order the application of radiation to a human being	\$1,000	\$2,000
NYCHC § 175.52(c)	The operator's protection is not adequate	\$1,000	\$2,000
NYCHC § 175.52(d)	Quality assurance program is not adequate	\$500	\$1,000
NYCHC § 175.53(a)	Acceptance testing is not adequate	\$500	\$1,000

NYCHC § 175.53(b)(1)	Primary barrier interlock is not adequate	\$500	\$1,000
NYCHC § 175.53(c)	Field limitation is not adequate	\$500	\$1,000
NYCHC § 175.53(g)	Override capability is not adequate	\$500	\$1,000
NYCHC § 175.53(h)	Tube activation is not adequate	\$1,000	\$2,000
NYCHC § 175.53(i)(1)(i)	AECR unit capable of operating above 10 R/min	\$1,000	\$2,000
NYCHC § 175.53(i)(1)(ii)	Manual-only unit capable of operating above 5 R/min	\$1,000	\$2,000
NYCHC § 175.53(i)(1)(iii)	AECR/manual unit capable of operating above 10 R/min in either mode	\$1,000	\$2,000
NYCHC § 175.53(i)(1)	Air kerma rates is not adequate for fluoroscopic equipment manufactured before May 19, 1995	\$1,000	\$2,000
NYCHC § 175.53(i)(2)(i)	No AERC; unit is capable of operating above 5 R/min	\$1,000	\$2,000
NYCHC § 175.53(i)(2)(ii)	AECR unit capable of operating above 10 R/min	\$1,000	\$2,000
NYCHC § 175.53(i)(2)	Air kerma rate is not adequate for fluoroscopic equipment manufactured after May 19, 1995	\$1,000	\$2,000
NYCHC § 175.53(j)	The high-level control is not adequate	\$1,000	\$2,000
NYCHC § 175.53(k)	Procedure to measure the exposure rates is not adequate	\$500	\$1,000
NYCHC § 175.53(l)	The automatic brightness system is not adequate	\$1,000	\$2,000
NYCHC § 175.53(m)	An entrance exposure rate is not adequate	\$1,000	\$2,000
NYCHC § 175.53(n)(1)	The spatial resolution of the fluoroscopic system is not adequate	\$1,000	\$2,000
NYCHC § 175.53(n)(2)	The low contrast performance of the fluoroscopic system is not adequate	\$500	\$1,000
NYCHC § 175.53(o)	An Indication of potential and current is not adequate	\$500	\$1,000
NYCHC § 175.53(p)	The means to limit the source-skin distance is not adequate in the fluoroscopic unit	\$500	\$1,000

NYCHC §175.53(q)(1)	A display of the fluoroscopic irradiation time is not adequate for equipment manufactured before June 10, 2006	\$500	\$1,000
NYCHC § 175.53(q)(2)	A display of the fluoroscopic irradiation time is not adequate for equipment manufactured after June 10, 2006	\$500	\$1,000
NYCHC § 175.53(r)(1)(i)	Fluoroscopic equipment not adequately equipped to display LIH image following termination of the fluoroscopic exposure	\$500	\$1,000
NYCHC § 175.53(r)(1)(ii)	Technique factors for the LIH image are not selectable and the combination selected not indicated prior to initiation of exposure	\$500	\$1,000
NYCHC §175.53(r)(1)(iii)	Means not provided to indicate whether a displayed image is the LIH radiograph or fluoroscopy	\$500	\$1,000
NYCHC §175.53(s)	The AKR and cumulative air kerma is not displayed	\$500	\$1,000
NYCHC §175.53(t)	Protection from scatter radiation in the room during fluoroscopy procedure is not adequate	\$1,000	\$2,000
NYCHC §175.53(u)(1)	Operators of a fluoroscopic x-ray system for clinical purposes do not have adequate qualifications	\$500	\$1,000
NYCHC §175.53(u)(2)	Training for operators of fluoroscopic system is not adequate	\$500	\$1,000
NYCHC §175.53(u)(3)-(6)	Training for operators of fluoroscopic system during FGI is not adequate	\$500	\$1,000
NYCHC §175.53(v)(1),(2)	Fluoroscopic equipment operation is not adequate	\$500	\$1,000
NYCHC §175.53(v)(3)	Operators are not competent in the standard operating procedures of the unit in use	\$1,000	\$2,000
NYCHC §175.53(v)(4)	Procedure planning for fluoroscopic procedures on pregnant patients is not adequate	\$1,000	\$2,000
NYCHC §175.53(v)(6)	Fluoroscopic systems that failed to comply with testing requirements in 175.53(l) or (n) was used for patient fluoroscopy before failure(s) have been corrected	\$1,000	\$2,000
NYCHC §175.53(w)(1),(5)	Failure to establish Radiation Protocol Committee (RPC)	\$500	\$1,000
NYCHC §175.53(w)(2)-(4)	Failure to maintain Radiation Protocol Committee (RPC)	\$500	\$1,000

NYCHC §175.53(w)(6)	Failure to establish and implement FGI procedure protocols	\$500	\$1,000
NYCHC §175.53(w)(7)	A registrant utilizing FGI procedures failed to comply with Health Code requirements relating to procedures for maintaining records	\$500	\$1,000
NYCHC §175.54(d)	Quality assurance manual has not been followed adequately at this facility	\$1,000	\$2,000
NYCHC §175.55(a)	Control panel is not adequate	\$500	\$1,000
NYCHC §175.55(b)(1)	Audible communication with patient not adequate	\$500	\$1,000
NYCHC §175.55(b)(2)-(3)	Visual observation of patient is not adequate	\$500	\$1,000
NYCHC §175.55(c)(1)	Calibration of CT unit performed or directed by person other than licensed medical physicist	\$1,000	\$2,000
NYCHC §175.55(c)(2),(6)	Written procedures for the CT calibration on site were not available and/or adequate	\$500	\$1,000
NYCHC §175.55(c)(3)	CT unit was not calibrated prior to human use or annually or whenever components changed that could affect dose	\$1,000	\$2,000
NYCHC §175.55(c)(4)-(5)	Registrant did not use a calibrated dosimetry system that was calibrated within the past 12 months	\$500	\$1,000
NYCHC §175.55(d)(1),(2) and (5)	CT registrant conducted QA program as if it were not a Large Facility	\$500	\$1,000
NYCHC §175.55(d)(3)	Registrant failed to develop and maintain an adequate QA Manual according to the Registrants accreditation body's mandates	\$500	\$1,000
NYCHC §175.55(d)(4)	Registrant failed to conduct QA testing for image quality parameters using an appropriate phantom, at inadequate frequency, and failed to conduct all mandated image parameter tests	\$500	\$1,000
NYCHC §175.55(d)(6)(i)	Image of CT phantom not retained in an adequate manner	\$500	\$1,000
NYCHC §175.55(d)(6)(ii)	Dose assessments for common clinical exams not conducted	\$500	\$1,000
NYCHC §175.55(d)(6)(iii)	Registrant failed to conduct dose measurement for brain perfusion studies and/or failed to conduct review of clinical scan protocols for brain perfusion studies	\$500	\$1,000

NYCHC §175.55(d)(6)(iv)	Registrant failed to verify that the CT unit's dose adjustment software is functioning adequately	\$500	\$1,000
NYCHC §175.55(d)(7)	Registrant failed to conduct mandated tests for CT SIM units	\$500	\$1,000
NYCHC §175.55(e)(1), (10)	Failure to obtain accreditation for CT or CBCT unit used for patient scan	\$500	\$1,000
NYCHC §175.55(e)(10)	Facility failed to establish and implement a policy and a procedure to ensure that a request for a CT scan originates from a licensed physician familiar with the patient's clinical condition	\$500	\$1,000
NYCHC §175.55(e)(11)	Facility does not have an adequate logbook of patients undergoing CT exams	\$500	\$1,000
NYCHC §175.55(e)(2)	CT units are operated by not authorized personnel	\$1,000	\$2,000
NYCHC §175.55(e)(3)	Radiation protection survey for CT x-ray system was not performed when it is required or is inadequate	\$1,000	\$2,000
NYCHC §175.55(e)(4), (5)	The operation and output calibration of the CT x-ray system is not available at a control panel or inadequate	\$500	\$1,000
NYCHC §175.55(e)(6)	Routine CT scans of patient were conducted before completion of corrective action and before the QA tests have been repeated to verify that the system is back within tolerance	\$500	\$1,000
NYCHC §175.55(e)(7)	Routine CT scans of patient were conducted before corrective action has removed artifacts being present in clinical scans	\$500	\$1,000
NYCHC §175.55(e)(8), (9)	Failure to verify, save or record dose delivered by the scanner to a reference phantom, or the dose received by the patient in a manner consistent with this subsection	\$500	\$1,000
NYCHC §175.55(f)(1)	Facility failed to have an adequate CT Radiation Protocol Committee (RPC)	\$500	\$1,000
NYCHC §175.55(f)(2)	Facility's Radiation Protocol Committee performs in an inadequate manner	\$500	\$1,000
NYCHC §175.60(b)	An unauthorized or unapproved machine was used for therapeutic treatment of human patients	\$1,000	\$2,000
NYCHC §175.60(c)	An unapproved or unqualified person acted as an authorized user for therapeutic radiation equipment	\$1,000	\$2,000

NYCHC §175.60(d)	Operators of therapeutic radiation equipment do not have the required qualification	\$500	\$1,000
NYCHC §175.60(e)	Adequate written safety procedures are not available at the control area	\$500	\$1,000
NYCHC §175.60(f)	Improper use of therapeutic radiation equipment to cause human exposure without proper written directive	\$1,000	\$2,000
NYCHC §175.60(i)	Maintenance records are not maintained as required	\$500	\$1,000
NYCHC §175.61(a)	Required radiation protection surveys were not performed adequately	\$500	\$1,000
NYCHC §175.61(c)	Dosimetry equipment is not calibrated as required	\$500	\$1,000
NYCHC §175.62(b)	Treatment planning manual is not available or is inadequate	\$500	\$1,000
NYCHC §175.62(c)	Registrant does not have required accreditation in radiation oncology	\$500	\$1,000
NYCHC §175.63(a)	The referring physician was not notified of a medical event	\$500	\$1,000
NYCHC §175.63(c)	Retention of medical events reports is not adequate	\$500	\$1,000
NYCHC §175.65(a)	Appropriate calibrated survey meter is not available	\$500	\$1,000
NYCHC §175.65(d)	Reusable filters or wedges are not properly labeled	\$500	\$1,000
NYCHC §175.65(j)	Irradiation is not properly terminated by the dose monitoring system	\$500	\$1,000
NYCHC §175.65(m)	Timer is not functioning as required	\$500	\$1,000
NYCHC §175.65(q)(2)(iv)	Control panel does not provide required access control (device lock)	\$500	\$1,000
NYCHC §175.65(q)(3), (4)	Patient observation or communication system is inadequate or not working properly	\$500	\$1,000
NYCHC §175.65(q)(5)	Beam on/off indicator lights are inadequate or not working properly	\$500	\$1,000
NYCHC §175.65(q)(6)	Treatment room door interlocks are not working properly	\$500	\$1,000
NYCHC §175.65(q)(8)	Emergency cutoff switch is not present in treatment room	\$500	\$1,000

NYCHC §175.65(r)	Duties that should be performed by the QMP are being performed by someone other than an approved QMP	\$500	\$1,000
NYCHC §175.65(s)	Required documentation is not available at the treatment console	\$1,000	\$2,000
NYCHC §175.65(t)	Full calibration was not performed or was inadequate	\$500	\$1,000
NYCHC §175.65(u)	Required weekly safety checks were not performed or were inadequate	\$500	\$1,000
NYCHC §175.65(v)	Appropriate calibrated survey meter is not available	\$500	\$1,000
NYCHC §175.68(a), (b)	Appropriate quality assurance for CT simulator was not performed	\$500	\$1,000
NYCHC §175.69(f)	Appropriate QMP support not available, or procedures that should be done by QMP were performed by a person other than an approved QMP for electronic brachytherapy	\$500	\$1,000
NYCHC §175.69(j)	Required quality assurance checks not performed for electronic brachytherapy equipment	\$500	\$1,000
NYCHC §175.69(l)	Adequate training was not provided for operators of electronic brachytherapy equipment	\$500	\$1,000
NYCHC §175.101(a)	Failure to comply with 10 CFR Part 19	\$500	\$1,000
NYCHC §175.101(c)	Records documenting individual worker instruction were not maintained as required	\$500	\$1,000
NYCHC §175.102(a)	Failure to comply with 10 CFR Part 20	\$1,000	\$2,000
NYCHC §175.102(c)(1)	Radiation Protection Program is not adequate	\$500	\$1,000
NYCHC §175.102(c)(2)	Improper or inadequate use of personal monitoring devices	\$500	\$1,000
NYCHC §175.102(c)(3)	Written policy on respirator use not available or inadequate	\$500	\$1,000
NYCHC §175.102(c)(4)	Required leak tests were not performed properly	\$500	\$1,000
NYCHC §175.102(c)(5)	Radioactive material shall is stored with either food or beverages	\$1,000	\$2,000

NYCHC §175.102(c)(9)	Records of receipt and transfer of radioactive materials were not maintained as required	\$500	\$1,000
NYCHC §175.102(c)(12)	The licensee did not obtain required New York State DEC permits for waste discharge	\$500	\$1,000
NYCHC §175.103(a)	Personnel responsible for radiation safety are not listed on the certified registration	\$500	\$1,000
NYCHC §175.103(b)	Licensee made shipment of radioactive materials without verifying that receiver has valid license	\$1,000	\$2,000
NYCHC §175.104(d)(3)(iii)	Adequate procedures for approval of authorized users are not available	\$500	\$1,000
NYCHC §175.104(e)	Authorized users do not have appropriate training and experience	\$500	\$1,000
NYCHC §175.105(a)	Failure to comply with 10 CFR Part 35	\$1,000	\$2,000
NYCHC §175.105(c)(1)	An ALARA program was not developed and implemented as required	\$500	\$1,000
NYCHC §175.105(c)(2)	Personnel working as Nuclear Medicine Technicians are not duly licensed by New York State Department of Health	\$500	\$1,000
NYCHC §175.105(c)(3)	Dose calibrator QA checks not performed as required	\$500	\$1,000
NYCHC §175.105(c)(4)	Adequate portable radiation survey equipment is not available	\$500	\$1,000
NYCHC §175.105(c)(5)	Required radiation surveys not performed or inadequate	\$500	\$1,000
NYCHC §175.105(c)(6)	Airborne radioactivity control not adequate	\$500	\$1,000
NYCHC §175.105(c)(8)	Licensee did not perform a required survey after treatment with radioactive implants or unsealed radioactive material	\$500	\$1,000
NYCHC §175.105(c)(9)	A person working as authorized medical physicist for HDR afterloader is not listed on the license	\$500	\$1,000
NYCHC §175.105(c)(10)	Records of radiation safety program are inadequate	\$500	\$1,000
NYCHC §175.106(a)	Improper shipping or packaging for transport	\$500	\$1,000

NYCHC §175.108(b)	A quality assurance program for unsealed material for diagnostic use is not adequate	\$500	\$1,000
NYCHC §175.108(c)(2)	QA Manual for brachytherapy or external beam treatment is not adequate	\$500	\$1,000
NYCHC §175.108(c)(2)	QA Program for brachytherapy or external beam treatment not implemented adequately	\$500	\$1,000
NYCHC §175.108(c)(3)	Radiation treatment manual for external beam and/or brachytherapy is not available or is not adequate	\$500	\$1,000
NYCHC §175.108(c)(6)	The facility does not maintain the required accreditation for therapeutic use of radiation equipment	\$500	\$1,000
NYCHC §175.108(d)(1)	The quality assurance program for unsealed material for therapeutic use is not adequate	\$500	\$1,000
NYCHC §175.108(d)(3)	Required yearly audits of the quality assurance program were not performed	\$500	\$1,000
NYCHC §177.05(a)	Operating an indoor tanning facility without a permit. Permit was never obtained	\$1,000	\$2,000
NYCHC §177.05(a)	Operating an indoor tanning facility without a current, valid permit. Permit is expired or invalid	\$1,000	\$2,000
NYCHC §177.07(a)	Access not permitted for inspection purposes	\$1,000	\$2,000
NYCHC §177.07(c)(1)	Wiring and electrical system components inadequate or hazardous. Public Health Hazard	\$1,000	\$2,000
NYCHC §177.07(c)(1)	Wiring and electrical system components inadequate or hazardous	\$500	\$1,000
NYCHC §177.07(c)(2)	Adequate labels not present on tanning equipment. Public Health Hazard	\$1,000	\$2,000
NYCHC §177.07(c)(2)	Adequate labels not present on tanning equipment	\$500	\$1,000
NYCHC §177.07(c)(3)	Equipment not operated in accordance with label and manufacturers recommendations. Public Health Hazard	\$1,000	\$2,000
NYCHC §177.07(c)(4)	Failure to assure and maintain accuracy of UV radiation device timers. Public Health Hazard	\$1,000	\$2,000
NYCHC §177.07(c)(4)	Failure to assure and maintain accuracy of UV radiation device timers	\$500	\$1,000
NYCHC §177.07(c)(5)	Patrons do not possess adequate protective eyewear. Public Health Hazard	\$1,000	\$2,000

NYCHC §177.07(c)(5)	Patrons do not possess adequate protective eyewear	\$500	\$1,000
NYCHC §177.07(c)(6)	Tanning devices, pillows, headrests and reusable protective eyewear not sanitized in an adequate manner. Public Health Hazard	\$1,000	\$2,000
NYCHC §177.07(c)(6)	Tanning devices, pillows, headrests and reusable protective eyewear not sanitized in an adequate manner	\$500	\$1,000
NYCHC §177.07(c)(7)	Timer lockout or remote timer controls not in use with all tanning equipment. Public Health Hazard	\$1,000	\$2,000
NYCHC §177.07(c)(8)	Condition creating an imminent risk to the public's health and safety present. Public Health Hazard	\$1,000	\$2,000
NYCHC §177.11(a)	Operator not present while tanning devices were in use	\$500	\$1,000
NYCHC §177.11(b)	Patron exposure time not adequately limited	\$500	\$1,000
NYCHC §177.11(g)	Operator maintained website(s) did not include health risk disclaimer message	\$500	\$1,000
NYCHC §177.13(a)(1)	Patron identification and age not verified	\$500	\$1,000
NYCHC §177.13(a)(2)	Minors under 18 years not prohibited from tanning	\$500	\$1,000
NYCHC §177.13(a)(3)	Minors prohibited sign not posted in the reception area	\$500	\$1,000
NYCHC §177.13(c)	The Department's Health Risk Advisory not provided to all patrons prior to initial exposure	\$500	\$1,000
NYCHC §177.15(a)(1)	The Department's warning signs not posted or posted with incorrect language and format	\$500	\$1,000
NYCHC §177.15(a)(1)	The Department's warning signs not posted within specified distance of each UV device	\$500	\$1,000
NYCHC §177.15(a)(2)	Adequate labels not present on tanning equipment	\$500	\$1,000
NYCHC §177.15(b)	The manufacturer's instruction manual not maintained	\$500	\$1,000
NYCHC §177.15(c)(2)(v)	Timers lockout or remote timer controls not present, adequate, or used as required	\$500	\$1,000
NYCHC §177.15(c)(3)	Patrons cannot manually terminate UV emission	\$500	\$1,000
NYCHC §177.15(c)(5)	Equipment does not adequately shield patrons from lamps	\$500	\$1,000

NYCHC §177.15(c)(6)	Safety requirements for stand-up tanning devices not met. Handrails, floor marking, doors or non-slip floors are inadequate or absent	\$500	\$1,000
NYCHC §177.15(c)(7)	The internal temperature of tanning equipment exceeded 100°F	\$500	\$1,000
NYCHC §177.15(c)(8)	UV lamps or filters not compatible with the device in which they are installed	\$500	\$1,000
NYCHC §177.15(c)(9)	Equipment not regularly maintained according to the manufacturer's recommendations	\$500	\$1,000
NYCHC §177.15(c)(10)	UV devices do not meet FDA irradiance limitations. Devices transmit measurable radiation in wavelengths less than 200 nanometers	\$500	\$1000
NYCHC §177.15(c)(11)	UV devices do not meet FDA dose limitations. Machines operated so that the manufacturer's recommended maximum exposure time results in an exposure which exceeds the limits of Minimal Erythema Dose (MED) or Minimal Melanogenic Does (MMD)	\$500	\$1000
NYCHC §177.15(d)(1)	Patrons do not possess adequate protective eyewear. Public Health Hazard	\$1,000	\$2,000
NYCHC §177.15(d)(1)	Adequate eye protection not provided at no additional cost to patrons. Public Health Hazard	\$1,000	\$2,000
NYCHC §177.15(d)(1)	Adequate eye protection not provided at no additional cost to patrons	\$500	\$1,000
NYCHC §177.15(d)(1)	Adequate number of protective eyewear sets not available for use	\$500	\$1,000
NYCHC §177.15(e)(2)	Disinfection solution prepared by operator not tested at time of preparation and weekly thereafter	\$500	\$1,000
NYCHC §177.17(a)	Patron record not maintained	\$500	\$1,000
NYCHC §177.19(c)	Injury or illness reports not maintained for two years	\$500	\$1,000
Admin. Code § 17-197	Improper restraining animal out of doors	\$250	\$250
Admin Code § 17-328(a)	Currently licensed rental horse lacks hoof brand or metal tag attached to bridle	\$300	\$500

Admin Code § 17-330(b)	Tethered horses secured by a rope attached to a bit or bridle, not to the halter	\$200	\$400
Admin Code § 17-330(b)	Horses kept tied in stable	\$300	\$300
Admin Code § 17-330(c)	Horse not untied in stable stall	\$200	\$400
Admin Code § 17-330(c)	Carriage horse's standing stall is at not 60 square feet or larger, and/or a minimum of seven feet wide	\$500	\$500
Admin Code § 17-330(d)	Sufficient bedding of straw, shavings or other suitable material not furnished or not cleaned, not dry and/or not changed as necessary	\$200	\$400
Admin Code § 17-330(e)	Sufficient foods and drinking water not provided	\$500	\$500
Admin Code § 17-330(g)(1)	Required work and rest periods and/or fresh water not provided for horses	\$500	\$500
Admin Code § 17-330(g)(2)	Written proof showing carriage horses furloughed not provided to Department upon demand, or furlough insufficient	\$500	\$500
Admin Code § 17-330(i)	Saddles, bits, bridles, harnesses and other equipment not clean, maintained and/or properly fitted or disinfected prior to use by another horse	\$200	\$400
Admin Code § 17-330(l)	Written emergency protocol or emergency contact information not kept by owner of rental horse business	\$500	\$500
Admin Code § 17-330(n)	Horse not examined, or examinations not occurring before being put into service, before new or renewal license or every four to eight months after being put into service	\$500	\$500
Admin Code § 17-330(n)	Original health certificate not mailed to Department by the examining veterinarian	\$100	\$200
Admin Code § 17-330(n)	Copy of health certificate not maintained at stable or not provided to the Department	\$200	\$400
Admin Code § 17-330(o)(1)	Carriage horse working when temperature is 18 °F or below, after written warning issued	\$500	\$500
Admin Code § 17-330(o)(2)	Carriage horse working when temperature is 90 °F or above or when the air temperature is 80 degrees Fahrenheit or above AND the Equine Heat	\$500	\$500

	Index (EHI) is 150 or above, after written warning issued		
Admin Code § 17-330(p)	Horse drawn carriage is not equipped with manure catching device	\$200	\$400
Admin Code § 17-330(r)	From November through April carriage not equipped with heavy winter horse blanket	\$200	\$400
Admin Code § 17-330(r)	Waterproof blankets not provided or used for carriage horses during wet weather when the temperature is at or below 55°F	\$200	\$400
Admin. Code § 17-377(b)	Permit not placed in a clean, transparent cover and clearly visible to the public*	\$100 per day	\$100 per day
Admin Code § 17-1402	Non-compliant carpet or carpet cushion sold, offered for sale or installed; initial	\$250	\$500
Admin Code § 17-1402	Non-compliant carpet or carpet cushion sold, offered for sale or installed; repeat	\$500	\$500
Admin Code § 17-1403	Non-compliant carpet adhesive installed in building	\$250	\$500
Admin Code § 17-1405	Required documentation not maintained and available for inspection	\$250	\$250
Admin Code § 17-1407	Required carpet notice not posted in a conspicuous location, or not provided in written or electronic form	\$250	\$250
Admin Code § 17-1605 (a)	Failure to consult the animal abuse registry prior to transferring or exchanging ownership of an animal.	\$500	\$1,000
Admin Code § 17-1605 (b)	Transferring or exchanging ownership of an animal to a person listed on the animal abuse registry	\$1,000	\$1,000
Admin Code § 17-1703 (c)	Failure to conspicuously post required notices*	\$100 per day	\$100 per day
24 RCNY § 4-02(a)(1)(2)	Working a rental horse without a current valid license	\$500	\$500
24 RCNY § 4-02(b)(2)	Failure to maintain horse's certificate of license at stable	\$200	\$400
24 RCNY § 4-03(b)	Except as provided by Health Code §131.07(c), internal stable temperature not at or above 35°F during the winter months	\$500	\$500
24 RCNY § 4-03(f)	Stalls for working horses other than carriage horses are not a minimum of 4 ft. wide, 10 ft. long, with a ceiling clearance of at least 9 ft	\$500	\$500
24 RCNY § 30-03(c)	Required carpet documentation not provided within three (3) business days of request	\$250	\$250
24 RCNY § 30-03(d)	Carpet receipt not provided to consumer	\$150	\$250

24 RCNY § 30-04(a)	Posted carpet sign does not meet required specifications (8 ½” x 14” or larger; font size at least ½ inch high)	\$150	\$250
24 RCNY § 30-04(b)	Carpet notice text does not conform to wording requirement	\$150	\$250
NYS PHL 1310	Failure to remove canine waste	\$250	\$250

*Violation is subject to cure; see section 7-10 of this Chapter.