

**New York City Department of Correction
Quarterly Emergency Lock-In Report
CY2020 Annual (January 1st-December 31st)**

This report provides information regarding the rate of emergency lock-ins that the New York City Department of Correction (DOC or Department) is required to report pursuant to New York City Administrative Code §9-151. This reporting time frame is from January 1- December 31, 2020.

1. The number of department-wide emergency lock-ins, in total and disaggregated by the reason for such emergency lock-in, as determined by the department.

During this timeframe, the Department did not have a department- wide emergency lock-in.

2. The number of facility emergency lock-ins disaggregated by facility, in total and disaggregated by the reason for such emergency lock-in, as determined by the department.

Facility	Lock-In Reasons ¹	# Lock-Ins
GRVC	Escape	1
	Slashing/Stabbing	1
MDC	Inmate Fight	1
	Ballistic Search	1
	Slashing/Stabbing	1
RNDC	Tactical Search Operation	1
VCBC	Tactical Search Operation	1

3. The number of lock-in extensions disaggregated by facility and housing area, in total and disaggregated by housing area type and reason for lock-in extension.

During this timeframe, the Department did not have any lock-in extensions.

¹ Emergency Lock-Ins are tracked using the following categories: Assault on Staff, Inmate Fight, Inmate Tension, Search, Slashing/Stabbing, SRG Activity, Tactical Search Operation (TSO), and UOF Investigation, Investigation- Other and Other.

4. The number of partial facility emergency lock-ins disaggregated by facility, in total and disaggregated by the reason for such emergency lock-in, as determined by the department.

Facility	Lock-In Reasons	# Lock-Ins
GRVC	Inmate Fight	1
	Slashing/Stabbing	1
	Tactical Search Operation	1
NIC	Search	2
	Tactical Search Operation	1
OBCC	Tactical Search Operation	1
RNDC	Slashing/Stabbing	1

5. The number of housing area emergency lock-ins disaggregated by facility and housing area, in total and disaggregated by the reason for such emergency lock-in, as determined by the department, and the housing area type.

Facility	HA Type	Lock-In Reasons	# Lock-Ins
AMKC	GP	Death of an Inmate	1
		Inmate Fight	5
		Inmate Tension	1
		Investigation-other	4
		Other ²	2
		Search	4
		Slashing/Stabbing	28
		SRG Activity	1
		Tactical Search Operation	3
		UOF Investigation	12
EMTC	GP	Investigation-other	2
		Slashing/Stabbing	2
		UOF Investigation	4
GRVC	GP	Inmate Fight	8
		Inmate Tension	8
		Investigation-other	17
		Other	6

² Events that fall into “Other” category include occurrences such as investigations into missing institutional razor, medical emergencies, Assaults on Staff, investigations into a fight, slashing, inmate disturbance, discovery of contraband, inmate tension, security breach, broken glass, exit door being inoperable, investigation into act of violence, investigation into use of force, and serious threat to the institution.

Facility	HA Type	Lock-In Reasons	# Lock-Ins
		Search	3
		Slashing/Stabbing	69
		SRG Activity	9
		Tactical Search Operation	4
		UOF Investigation	70
	RESTRICTIVE	Inmate Tension	4
		Other	1
		Slashing/Stabbing	5
		SRG Activity	2
		UOF Investigation	9
MDC	GP	Death of an Inmate	1
		Inmate Fight	8
		Inmate Tension	13
		Investigation-other	8
		Other	3
		Search	5
		Slashing/Stabbing	5
		SRG Activity	1
		UOF Investigation	36
NIC	GP	Other	3
		Search	1
		Slashing/Stabbing	2
		SRG Activity	2
		Tactical Search Operation	1
		UOF Investigation	2
OBCC	GP	Assault on Staff	3
		Inmate Fight	2
		Inmate Tension	13
		Investigation-other	6
		Other	4
		Slashing/Stabbing	20
		SRG Activity	18
	UOF Investigation	132	
RESTRICTIVE	Inmate Fight	1	

Facility	HA Type	Lock-In Reasons	# Lock-Ins
		Inmate Tension	9
		Investigation-other	15
		Other	2
		Slashing/Stabbing	13
		SRG Activity	2
		UOF Investigation	65
RMSC	GP	Investigation-other	3
		Other	5
		UOF Investigation	2
RNDC	GP	Inmate Fight	1
		Inmate Tension	11
		Investigation-other	14
		Other	4
		Slashing/Stabbing	17
		SRG Activity	2
		Tactical Search Operation	1
	UOF Investigation	15	
	RESTRICTIVE	Other	2
VCBC	GP	Inmate Tension	1
		Other	1
		Slashing/Stabbing	5
		UOF Investigation	9

6. The mean and median number of incarcerated individuals housed in areas affected by housing area emergency lock-ins disaggregated by facility, in total and disaggregated by the housing area type.

Facility	HA Type	Inmate Count (Mean)	Inmate Count (Median)
AMKC	GP	20.52	20.00
EMTC	GP	17.89	18.00
GRVC	GP	30.78	32.00
	RESTRICTIVE	5.41	5.00
MDC	GP	24.62	25.00
NIC	GP	6.93	5.00
OBCC	GP	35.22	37.00
	RESTRICTIVE	17.31	17.00
RMSC	GP	13.33	13.00
RNDC	GP	12.28	12.00
	RESTRICTIVE	18.00	24.00
VCBC	GP	32.69	32.00

7. (a) The mean and median duration of emergency lock-ins disaggregated by department-wide emergency lock-ins, in total and disaggregated by the reason for such emergency lock-in, as determined by the department; (b) facility emergency lock-ins, in total and disaggregated by the reason for such emergency lock-in, as determined by the department; (c) partial facility emergency lock-ins, in total and disaggregated by the reason for such emergency lock-in, as determined by the department; (d) and housing area emergency lock-ins disaggregated by facility, in total and disaggregated by the reason for such emergency lock-in, as determined by the department, and housing area type.

(a) **During this timeframe, the Department did not have a department-wide emergency lock-in.**

(b) **Mean and median duration of facility emergency lock-in**

Facility	Lock-In Reasons	Mean Duration (Hours)	Median Duration (Hours)
GRVC	Escape	4.67	5.00
	Slashing/Stabbing	2.00	2.00
MDC	Inmate Fight	1.50	1.50
	Search	7.00	7.00

Facility	Lock-In Reasons	Mean Duration (Hours)	Median Duration (Hours)
	Slashing/Stabbing	4.25	4.25
RNDC	Tactical Search Operation	4.89	4.89
VCBC	Tactical Search Operation	6.00	6.00

(c) Mean and median duration of partial facility emergency lock-in

Facility	Lock-In Reasons	Mean Duration (Hours)	Median Duration (Hours)
GRVC	Inmate Fight	1.52	1.52
	Slashing/Stabbing	7.00	7.00
	Tactical Search Operation	5.00	5.00
NIC	Search	2.98	3.36
	Tactical Search Operation	4.57	4.57
OBCC	Tactical Search Operation	3.67	3.67
RNDC	Slashing/Stabbing	3.00	3.00

(d) Mean and median duration of housing area (HA) emergency lock-in

Facility	HA Type	Lock-In Reasons	Mean Duration (Hours)	Median Duration (Hours)
AMKC	GP	Death of an Inmate	6.28	6.28
		Inmate Fight	5.20	5.00
		Inmate Tension	7.00	7.00
		Investigation-other	4.00	3.50
		Other	6.00	6.00
		Search	4.50	4.50
		Slashing/Stabbing	3.42	3.05
		SRG Activity	5.00	5.00
		TSO	6.10	6.00
		UOF Investigation	5.36	5.50
EMTC	GP	Investigation-other	4.00	5.00
		Slashing/Stabbing	4.67	4.67
		UOF Investigation	2.91	2.25

Facility	HA Type	Lock-In Reasons	Mean Duration (Hours)	Median Duration (Hours)
GRVC	GP	Inmate Fight	4.04	4.00
		Inmate Tension	2.95	2.00
		Investigation-other	4.31	4.96
		Other	3.43	2.00
		Search	4.07	5.00
		Slashing/Stabbing	4.17	4.75
		SRG Activity	4.50	5.00
		TSO	7.08	7.00
		UOF Investigation	4.30	5.00
	RESTRICTIVE	Inmate Tension	3.25	2.00
		Other	2.00	2.00
		Slashing/Stabbing	4.60	5.00
		SRG Activity	5.00	5.00
		UOF Investigation	5.45	7.00
MDC	GP	Death of an Inmate	2.50	2.50
		Inmate Fight	4.14	4.00
		Inmate Tension	4.06	3.00
		Investigation-other	3.02	2.00
		Other	3.61	4.07
		Search	4.64	3.50
		Slashing/Stabbing	3.81	4.50
		SRG Activity	2.00	2.00
		UOF Investigation	4.29	4.40
NIC	GP	Other	4.00	4.00
		Search	4.17	4.17
		Slashing/Stabbing	3.01	3.01
		SRG Activity	2.08	2.08
		TSO	4.00	4.00
		UOF Investigation	1.47	1.00
OBCC	GP	Assault on Staff	5.33	7.00
		Inmate Fight	3.88	3.88
		Inmate Tension	3.34	2.00
		Investigation-other	4.11	3.33

Facility	HA Type	Lock-In Reasons	Mean Duration (Hours)	Median Duration (Hours)	
		Other	3.56	3.50	
		Slashing/Stabbing	4.91	5.00	
		SRG Activity	4.85	5.00	
		UOF Investigation	4.33	5.00	
	RESTRICTIVE	Inmate Fight	6.00	6.00	
		Inmate Tension	3.13	2.00	
		Investigation-other	3.49	2.00	
		Other	0.83	0.25	
		Slashing/Stabbing	4.69	5.00	
		SRG Activity	4.50	4.50	
		UOF Investigation	4.11	4.63	
	RMSC	GP	Investigation-other	5.33	5.00
			Other	3.27	3.35
UOF Investigation			3.66	3.66	
RNDC	GP	Inmate Fight	4.00	4.00	
		Inmate Tension	3.24	2.50	
		Investigation-other	3.32	2.00	
		Other	4.95	5.00	
		Slashing/Stabbing	3.82	4.75	
		SRG Activity	3.00	3.00	
		TSO	7.00	7.00	
		UOF Investigation	4.13	3.50	
	RESTRICTIVE	Other	5.33	5.00	
VCBC	GP	Inmate Tension	5.13	5.13	
		Other	1.58	1.58	
		Slashing/Stabbing	4.36	5.00	
		UOF Investigation	3.98	4.00	

8. The number of times mandated services are affected by an emergency lock-in or lock in extension, disaggregated by service type.

MANDATED SERVICE	SERVICE TYPE	MANDATED COUNT
EDUCATIONAL SERVICES	CANCELLED	32
	DELAYED	47
LAW LIBRARY	CANCELLED	66
	DELAYED	54
PHONE CALLS	CANCELLED	88
	DELAYED	266
RECREATION	CANCELLED	123
	DELAYED	203
RELIGIOUS SERVICES	CANCELLED	32
	DELAYED	46
SICK CALL	CANCELLED	28
	DELAYED	31
VISITS	CANCELLED	67
	DELAYED	165

9. The mean and median duration of continuous lock-ins disaggregated by facility, in total and disaggregated by the reason for such emergency lock-in, as determined by the department, and the housing area type.

Facility	HA Type	Lock-In Reasons	Mean Duration (Hours)	Median Duration (Hours)
AMKC	GP	Inmate Fight	5.20	5.00
		Inmate Tension	7.00	7.00
		Investigation-other	4.00	3.50
		Other	6.00	6.00
		Search	4.50	4.50
		Slashing/Stabbing	3.42	3.05
		SRG Activity	5.00	5.00
		Tactical Search Operation	6.10	6.00
		UOF Investigation	5.36	5.50
EMTC	GP	Investigation-other	4.00	5.00
		Slashing/Stabbing	4.67	4.67
		UOF Investigation	2.91	2.25

Facility	HA Type	Lock-In Reasons	Mean Duration (Hours)	Median Duration (Hours)
GRVC	GP	Escape	4.51	5.00
		Inmate Fight	2.36	1.52
		Inmate Tension	2.95	2.00
		Investigation-other	4.31	4.96
		Other	3.43	2.00
		Search	4.07	5.00
		Slashing/Stabbing	4.22	4.25
		SRG Activity	4.50	5.00
		Tactical Search Operation	5.95	5.00
		UOF Investigation	4.30	5.00
	NON- HOUSING AREA	Escape	5.00	5.00
		Inmate Fight	1.52	1.52
		Slashing/Stabbing	4.50	4.50
		Tactical Search Operation	5.00	5.00
	RESTRICTIVE	Escape	5.00	5.00
		Inmate Fight	1.52	1.52
		Inmate Tension	3.25	2.00
		Other	2.00	2.00
		Slashing/Stabbing	4.54	5.00
		SRG Activity	5.00	5.00
		Tactical Search Operation	5.00	5.00
MDC	GP	Death of an Inmate	2.50	2.50
		Inmate Fight	2.04	1.50
		Inmate Tension	4.06	3.00
		Investigation-other	3.31	3.33
		Other	3.61	4.07
		Search	6.48	7.00
		Slashing/Stabbing	4.18	4.25
		SRG Activity	2.00	2.00
		UOF Investigation	4.29	4.40
		Inmate Fight	1.50	1.50

Facility	HA Type	Lock-In Reasons	Mean Duration (Hours)	Median Duration (Hours)
	NON- HOUSING AREA	Search	7.00	7.00
		Slashing/Stabbing	4.25	4.25
NIC	GP	Other	4.00	4.00
		Red Alert	5.90	5.90
		Search	3.11	3.92
		Slashing/Stabbing	3.01	3.01
		SRG Activity	2.00	2.00
		Tactical Search Operation	4.00	4.00
		UOF Investigation	1.47	1.00
	NON- HOUSING AREA	Red Alert	5.90	5.90
OBCC	GP	Assault on Staff	5.33	7.00
		Inmate Fight	3.88	3.88
		Inmate Tension	3.34	2.00
		Investigation-other	4.11	3.33
		Other	3.56	3.50
		Slashing/Stabbing	4.91	5.00
		SRG Activity	4.85	5.00
		Tactical Search Operation	3.67	3.67
		UOF Investigation	4.33	5.00
	RESTRICTIVE	Inmate Fight	6.00	6.00
		Inmate Tension	3.13	2.00
		Investigation-other	3.42	2.00
		Other	0.83	0.25
		Slashing/Stabbing	4.69	5.00
		SRG Activity	4.50	4.50
Tactical Search Operation		3.67	3.67	
UOF Investigation	4.11	4.63		
RMSC	GP	Investigation-other	5.33	5.00
		Other	3.24	3.35
		Red Alert	6.00	6.00
		UOF Investigation	5.00	5.00

Facility	HA Type	Lock-In Reasons	Mean Duration (Hours)	Median Duration (Hours)
	NON- HOUSING AREA	Red Alert	6.00	6.00
	RESTRICTIVE	Red Alert	6.00	6.00
RNDC	GP	Inmate Fight	4.00	4.00
		Inmate Tension	3.24	2.50
		Investigation-other	3.32	2.00
		Other	5.12	5.00
		Slashing/Stabbing	3.29	3.00
		SRG Activity	3.00	3.00
		Tactical Search Operation	5.02	5.00
		UOF Investigation	4.13	3.50
	NON- HOUSING AREA	Slashing/Stabbing	3.00	3.00
		Tactical Search Operation	4.89	4.89
	RESTRICTIVE	Other	5.33	5.00
		Slashing/Stabbing	3.00	3.00
VCBC	GP	Inmate Tension	5.13	5.13
		Slashing/Stabbing	4.36	5.00
		Tactical Search Operation	6.00	6.00
		UOF Investigation	3.98	4.00
	NON- HOUSING AREA	Tactical Search Operation	6.00	6.00

10. The number of times that the duration of a continuous lock-in exceeds 24 hours, disaggregated by facility, in total and disaggregated by the reason for such emergency lock-in, as determined by the department, and the housing area type.³

Facility	HA Type	Lock-In Reasons	# Exceeds 24 Hour Lock-In
GRVC	GP	Slashing/Stabbing	6
		UOF Investigation	1
MDC	GP	UOF Investigation	1
OBCC	GP	Slashing/Stabbing	3
		UOF Investigation	17
	RESTRICTIVE	Slashing/Stabbing	1
		UOF Investigation	4
RNDC	GP	Slashing/Stabbing	1

³ Pursuant to local law 164, a continuous lock-in as used in this report refers to any period of time in which incarcerated individuals are confined to their cells or beds due to the combination of an emergency lock-in and either a scheduled lock-in or a lock-in extension, or both. For the purposes of this data metric, these 24-hour lock-in periods are continuous lock-ins, including both scheduled lock-ins and emergency-lock-in periods.