

Stormwater Permitting and Tracking System Demo

NYC DEP BEPA PERM

July 2025

Objective

To provide a live demonstration on how to use the <u>Stormwater Permitting and Tracking System (SWPTS)</u> portal to complete key steps in the NYC DEP Stormwater Permitting Process.

Disclaimers

- This presentation intends to show step-by-step processes live. However, due to time
 constraints associated with a 1-hour workshop, some steps may need to be described
 verbally and skipped, or pre-populated for demonstration purposes.
- To maximize time spent on the live demonstration, this presentation assumes that the audience understands DEP's mission, the Stormwater Permitting Program's goals, and the project applicability criteria that would require a project to obtain a Stormwater Construction Permit.

Overview



1. Introduction to the NYC DEP Stormwater Permitting Program [5 mins]

- Stormwater Permitting Process Overview
- Stormwater Permitting Process Steps in SWPTS

2. SWPTS Demonstration [45 mins]

- User Registration
- New Application
- Stormwater Construction Permit Initiation
- Stormwater Construction Permit Pull
- Notice of Termination / Stormwater Maintenance Permit

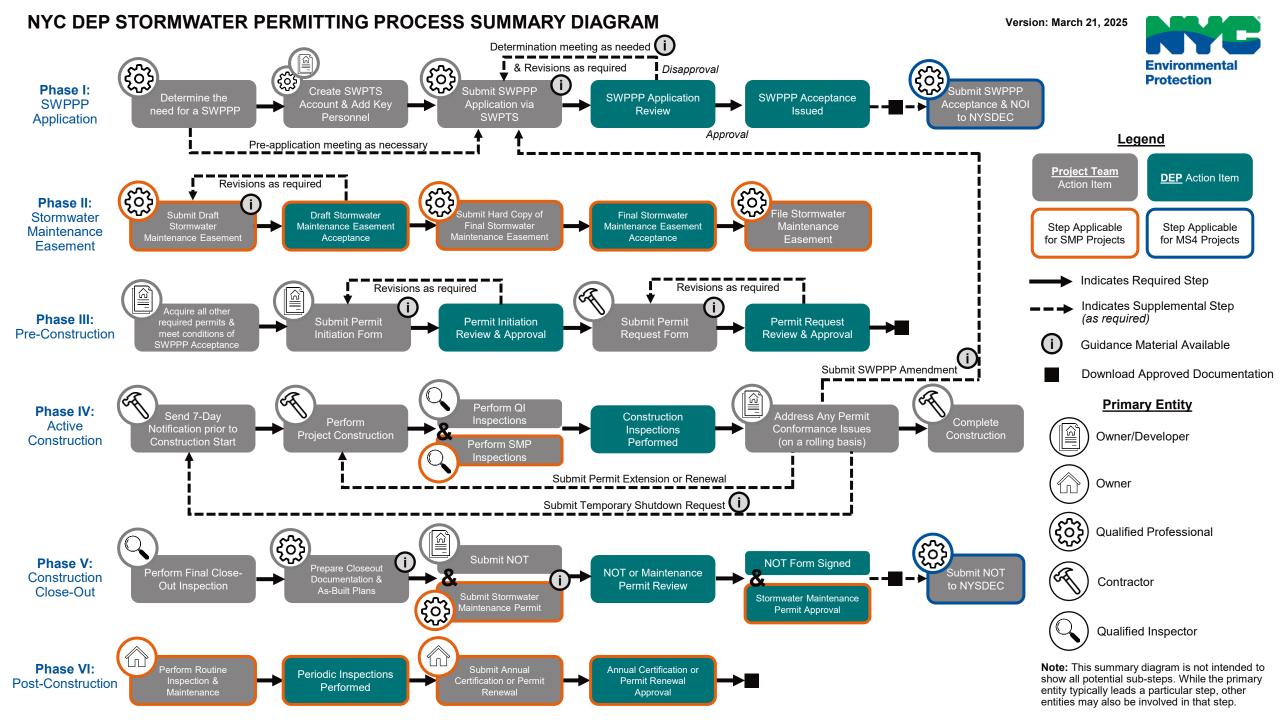
3. Additional SWPTS Capabilities [5 mins]

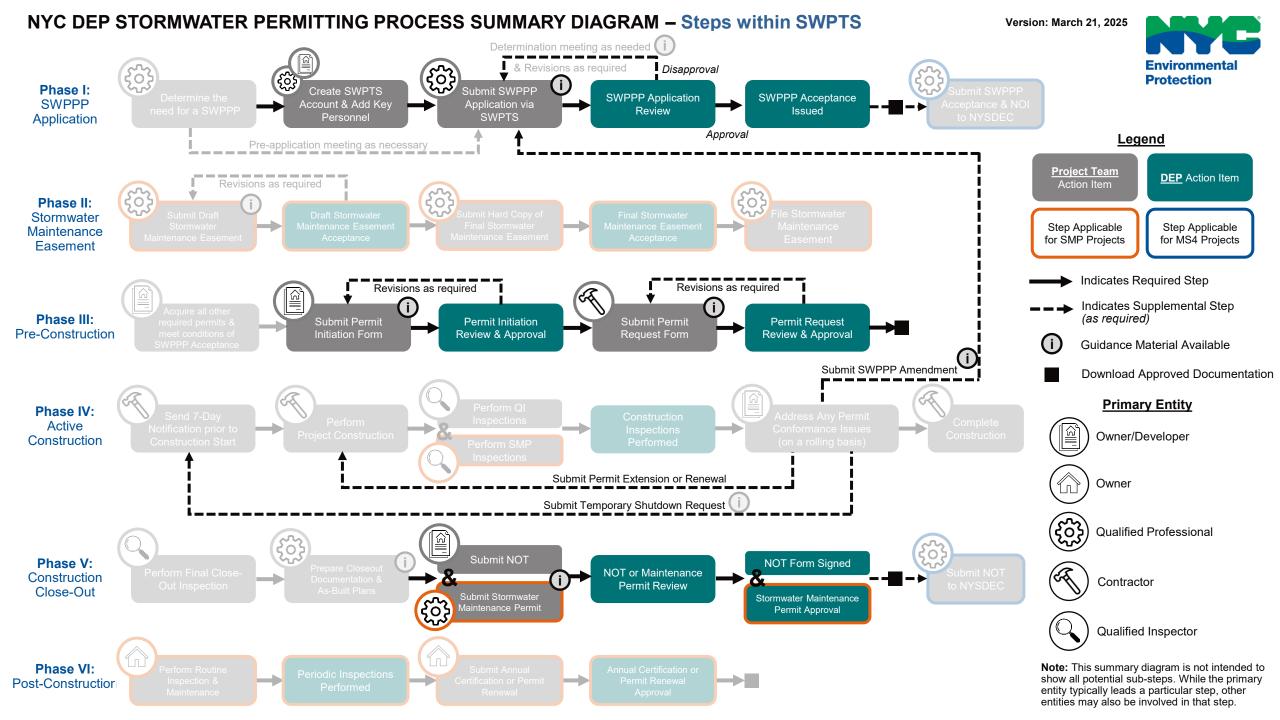
- Processes Not Shown in Demo
- Upcoming Improvements

4. Project Successes + Q&A [5 mins]

Introduction to the NYC DEP Stormwater Permitting Program







SWPTS Demonstration



SWPTS Demonstration

SWPTS Portal Link

Access SWPTS using the link below: https://deppermits.microsoftcrmportals.com/
Account/Login?returnUrl=/my-application/

Note: To avoid data inconsistencies in the SWPTS portal used to manage real project data, this workshop will be conducted on a version of SWPTS that is used for testing development changes, known as the "SWPTS Staging Environment". Therefore, some features visible during this demo will have minor inconsistences with the SWPTS portal that the public can access.

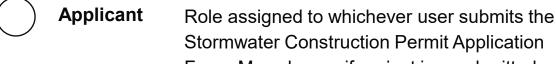
User Registration

User Registration

Roles

General User Roles

- Must have registered accounts in SWPTS
- Must meet specific license & experience requirements, as approved by DEP



Form. May change if project is resubmitted.

Developer Primary contact for project, which retains

operational control over project plans and is

responsible for implementing the SWPPP.

Owner Person/entity having legal ownership of the

land. Must be listed on the deed on NYC

ACRIS.

Contractor Responsible for construction of project and

implementation of SWPPP.

Professional User Roles

- Must have registered accounts in SWPTS
- Must meet specific license & experience requirements, as approved by DEP



SWPPP Preparer Prepares, signs and seals the SWPPP. Must certify that the SWPPP was prepared in accordance with RCNY Title 15 Chapter 19.1.



Qualified Inspector

Conducts and certifies inspections during construction in accordance with RCNY Title 15 Chapter 19.1 and NYSDEC Construction General Permit, including the final stabilization inspection.



Qualified Professional

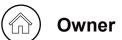
Conducts inspections and certifies as-builts during close-out, including SMP installation certification, in accordance with RCNY Title 15 Chapter 19.1 and NYSDEC Construction General Permit.

User Registration Creating an Account

Must be Performed by:





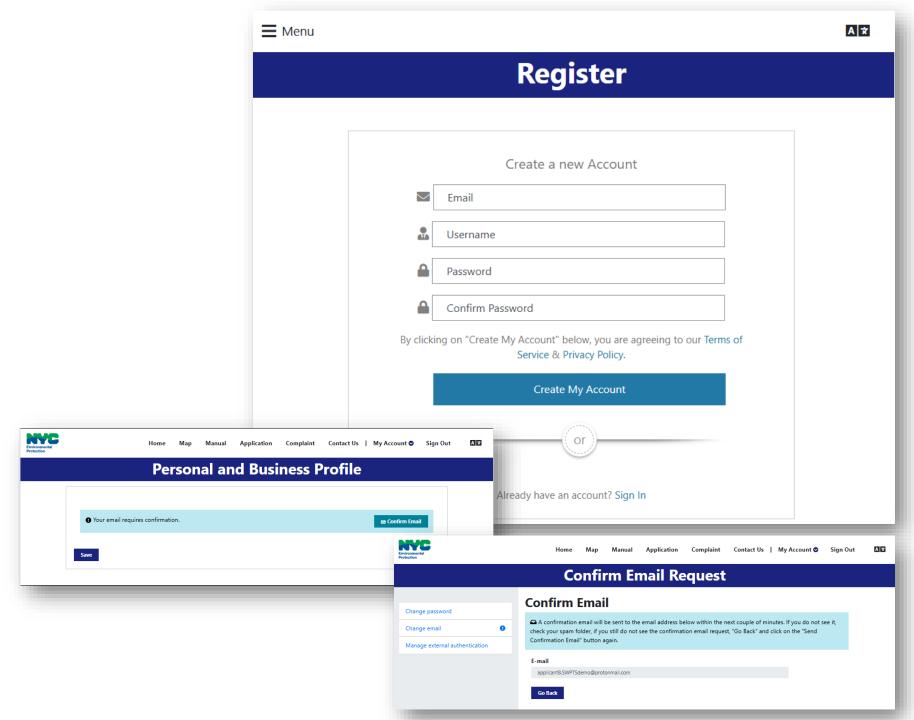






Qualified Inspector

ပြည် Qualified Professional



User RegistrationRegistering as a Professional User

Must be Performed by:



SWPPP Preparer



Qualified Inspector



Qualified Professional

Professional Certification

Professional Certification Approval Status: Select	
Do you want to register as Professional User?	
Note :- As a professional user, you can act as SWPPP Pro Yes No	eparer & Qualified Inspector as well.
MY DOCUMENTS	
There are no notes to display.	
Instructions: You must file updated forms any time you upout Steps: 1. Download the "Statement of Agreement of editable PDF). 2. Electronically fill it out. 3. Print it and manually sign it. 4. Get it notarized. 5. Scan it or take a picture of it and upload Download Statement of Agreement & Authentic form	& Authentication Form" (it is an it using the "Choose Files" button.
Please upload your completed statement of a	agreement & Authentic form
Choose Files No file chosen	

New Application

New Application Create New Application

May be Performed by:



SWPPP Preparer



Owner

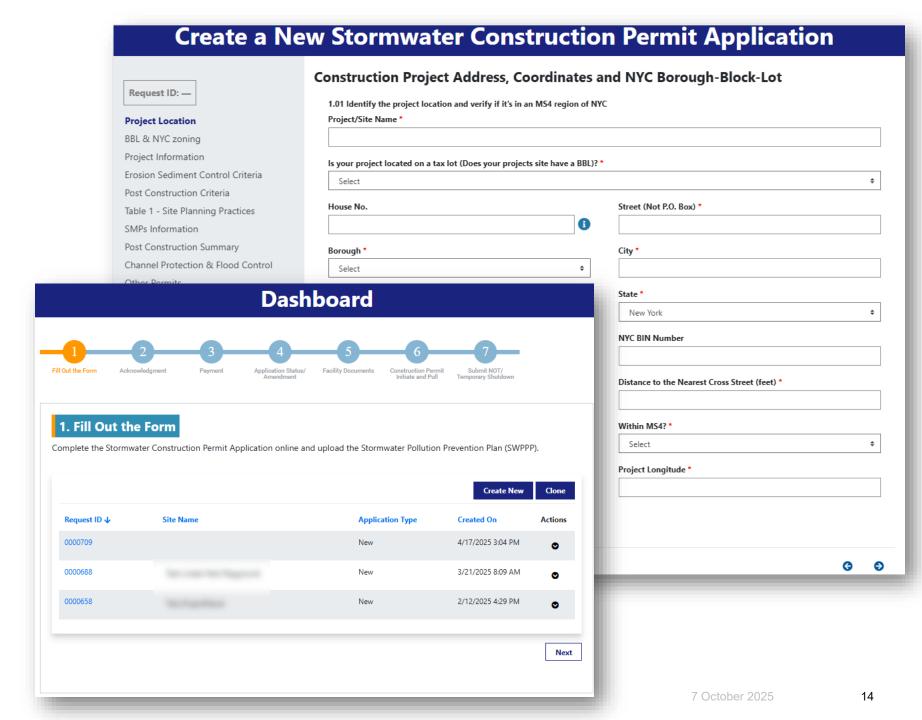


Developer



Other Registered User

Note: The user who submits the application will be recognized by the portal as the Applicant, regardless of their assignment as another Stakeholder.



New Application Acknowledgments

Must be Performed by:



SWPPP Preparer

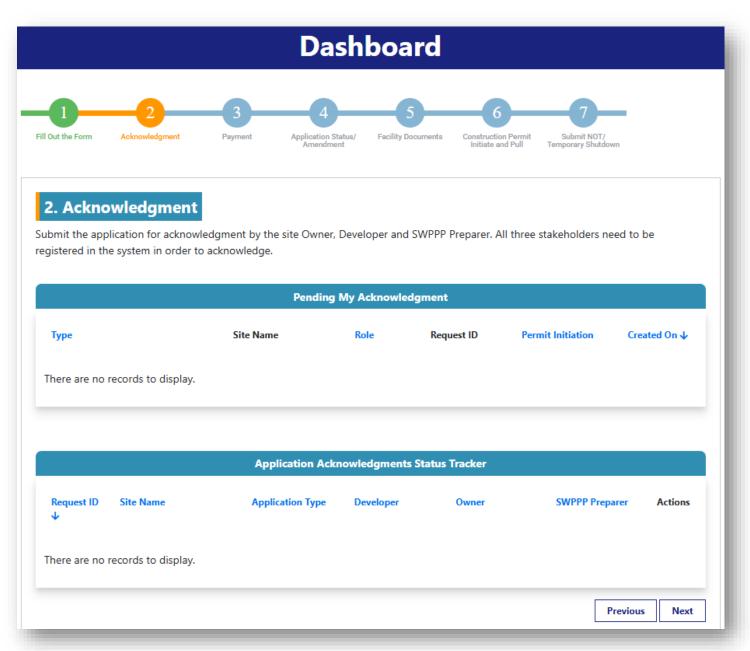


Owner



Developer

Note: An Acknowledgement is required from each stakeholder, even if they are the Applicant who submitted the form.



New Application Payment

May be Performed by:



SWPPP Preparer



Owner

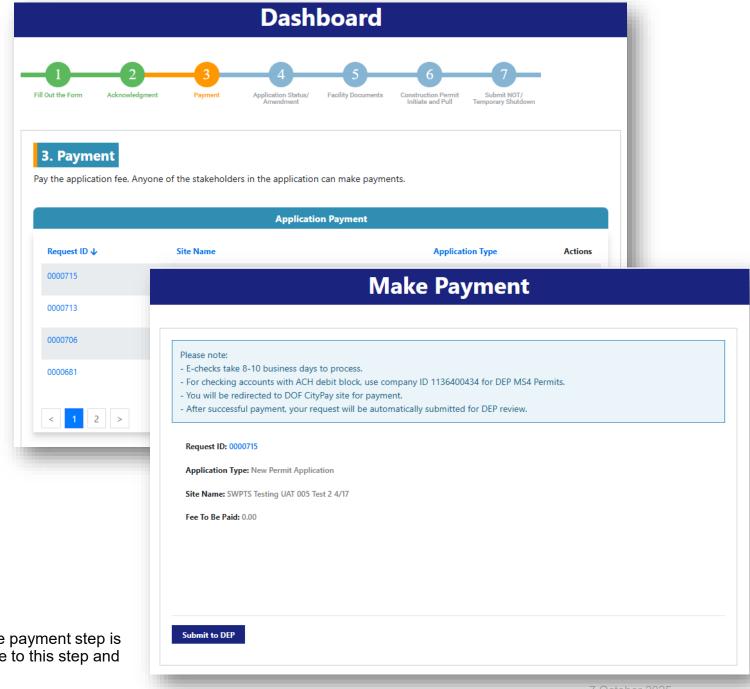


Developer



Applicant

Note: The application will not be submitted to DEP until the payment step is complete. Even applications with a \$0.00 fee must navigate to this step and click "Submit to DEP".



New Application Monitor Status

May be Performed by:



SWPPP Preparer



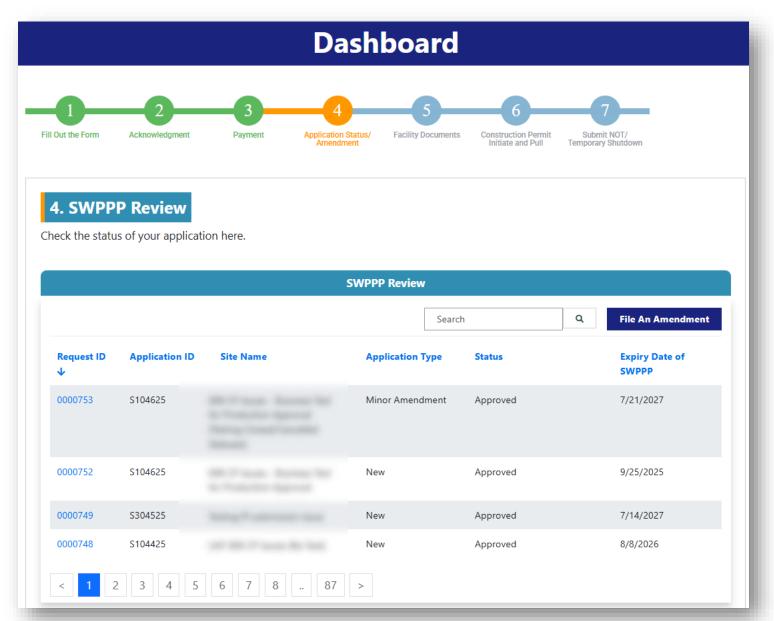
Owner



Developer



Applicant



New ApplicationDownload SWPPP Approval/Accepance Form

May be Performed by:



SWPPP Preparer



Owner



Developer



Applicant



THE CITY OF NEW YORK DEPARTMENT OF ENVIRONMENTAL PROTECTION

Bureau of Environmental Planning and Analysis 59-17 Junction Blvd., 9th Floor; Flushing, NY 11373

SWPPP Acceptance/Approval

Application Number: \$104625

I. Project Ow	ner/Operator Information
1. Owner/Op	erato
2. Contact Pe	erson
3. Street Add	ress:
4. City/State/	Zip:
II. Project Sit	te Information
5. Project/Site	e Na
6. Street Add	ress:
7. City/State/	Zip:
III. Stormwat	ter Pollution Prevention Pla
8. SWPPP Rev	viewed b
9. Title/Positi	on: /
10. Date Fina	I SWPPP Reviewed and Accepte
11. Acceptan	ce/Approval Expiration Date: 9/
	d MS4 Information for proje limination System General
12. Name of	MS4
13. MS4 SPD	ES Pe
14. Contact P	Perso
15. Street Ad	dres
16. City/State	e/Zip
17. Telephon	o Nu



THE CITY OF NEW YORK DEPARTMENT OF ENVIRONMENTAL PROTECTION Bureau of Environmental Planning and Analysis 59-17 Junction Blvd., 9th Floor; Flushing, NY 11373

V. Certification Statement - MS4 Official (principal executive officer or ranking elected official) or Duly Authorized Representative

I hereby certify that the final Stormwater Pollution Prevention Plan (SWPPP) for the construction project identified in question 5 has been reviewed and meets the substantive requirements in the SPDES General Permit For Stormwater Discharges from Municipal Separate Storm Sewer Systems (MS4s).

Note: The MS4, through the acceptance of the SWPPP, assumes no responsibility for the accuracy and adequacy of the design included in the SWPPP. In addition, review and acceptance of the SWPPP by the MS4 does not relieve the owner/operator or their SWPPP preparer of responsibility or liability for errors or omissions in the plan.

Printed Name:	
Title/Position:	

Signature:

Date: 7/21/2025

VI. Conditions of Acceptance/Approval and Additional Information

Note: Review conditions listed in this section thoroughly.

DEP typically include instructions/information related to documents required at Permit Initiation and any conditions that must be met during construction.

Permit Initiation

Permit Initiation

Review Drafts

May be Performed by:



Owner



Developer

Note: A draft Permit Initiation is automatically generated when an application is approved.

Dashboard



6. Construction Permit

The owner developer will identify the Qualified Inspector and Contractor and upload a copy of the maintenance easement. The contractor may then request the permit.

Request ID ↓	Application	Request ID (Application)	Site Name	Acknowledgement Status	Status	Created On
PI-0000238	S103225	0000720		Acknowledged	Approved	4/23/2025 1:35 PM
PI-0000237	S103125	0000719		Acknowledged	Approved	4/22/2025 12:57 PM
PI-0000225	S101725	0000693		Acknowledged	Approved	3/24/2025 12:43 PM
PI-0000223	S400925	0000690			Draft	3/24/2025 9:29 AM

Permit Initiation Complete Form

Goals of the PI:

- Register Qualified Inspector and Contractor on project
- Acquire Contractor Acknowledgement of project start
- Upload required documents, as applicable, including:
 - NYSDEC eNOI
 - Stormwater Maintenance Easement
 - Site Connection Proposal
 - Letter of Continued Coverage
 - Additional Documents, as Required by the conditions listed in SWPPP Acceptance/Approval

May be Performed by:

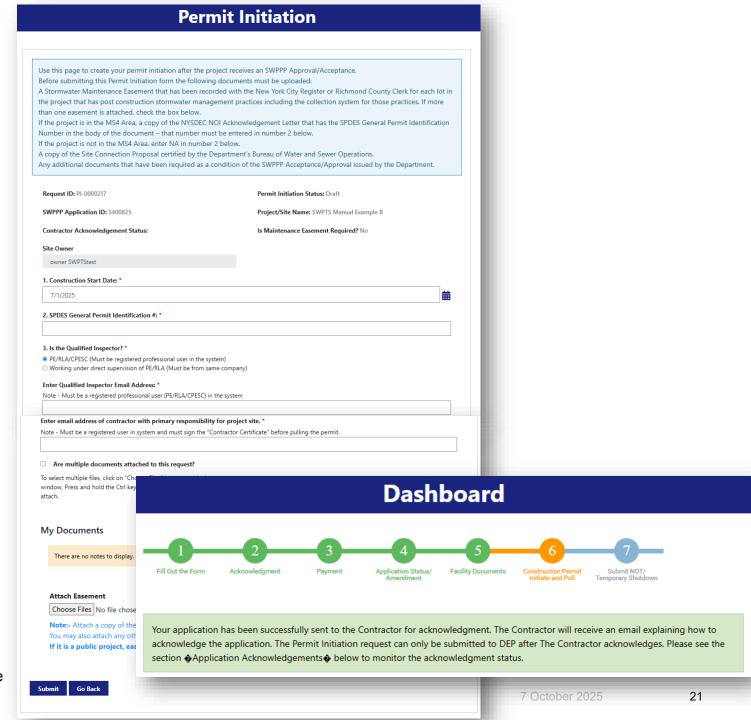


Owner



Developer

Note: Not all of the above-listed documents are required for every project. In some cases, projects will not require any documents to be uploaded with the PI Request.

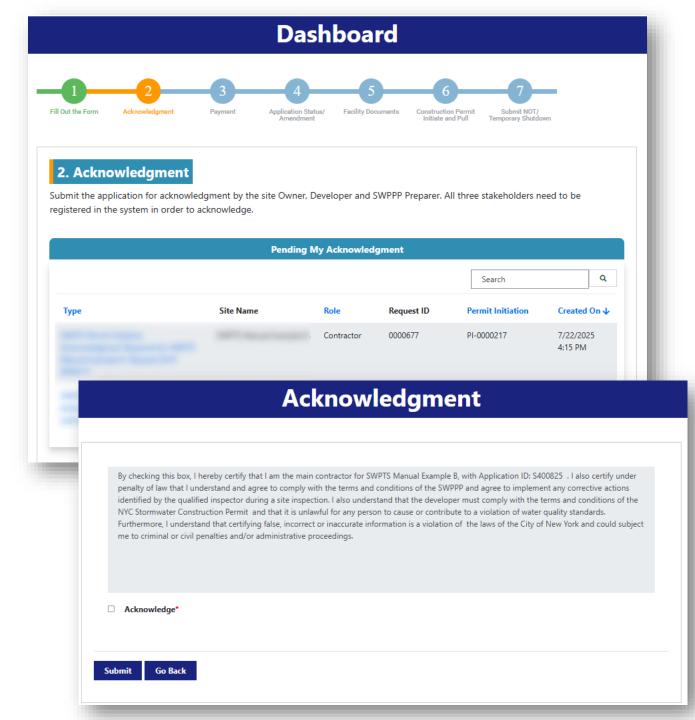


Permit Initiation Acknowledgement

Must be Performed by:



Note: When the Contractor provides their acknowledgment, the Permit Initiation is automatically sent to DEP for review.



Permit Pull

Dashboard

Permit Pull Generate Draft

May be Performed by:



Owner



Developer



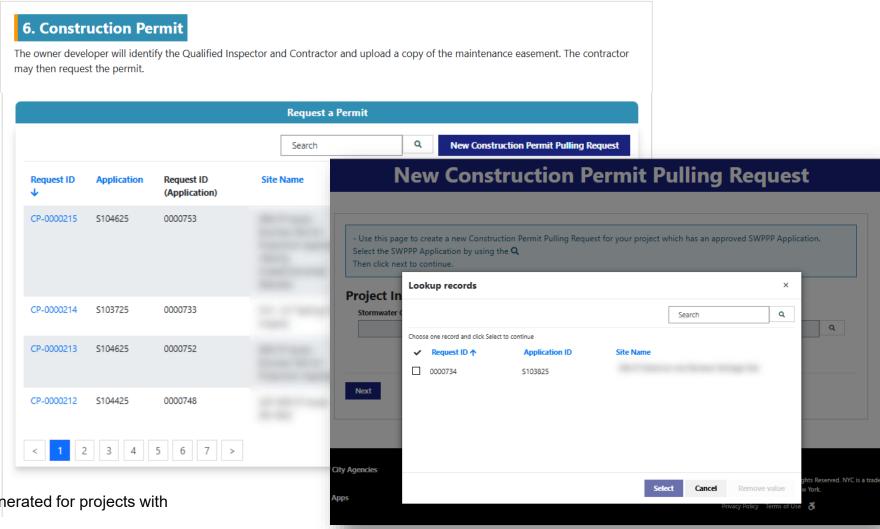
Contractor



Qualified Inspector

Note: A Permit Pulling Request can only be generated for projects with an Approved PI.





Permit Pull Complete Form

Goals of the CP:

- Register Trained Contractor Information
- Register Construction & Other Permit Information
- Upload Required Documents
 - Contractor Certificate of Insurance
 - Trained Contractor NYSDEC 4-Hour ESC Training Certification
- Obtain a Stormwater Construction Permit to start construction

Must be Performed by:



Construction Permit Pulling Request

To obtain a construction permit, please upload the following documents:

· Copy of the Contractor Insurance (COI).

The Certificate Holder should be our agency:

New York City Department of Environmental Protection

59-17 Junction Boulevard

Elmhurst, NY 11373

 Name of the Contractor's employee who will serve as the trained contractor for the project, along with their valid NYSDEC 4-Hour Erosion and Sediment Control Training Certificate ID number.

Request ID: CP-0000215

Construction Permit Status: Cancelled

SWPPP Application ID: \$104625 *

Permit Initiation ID: PI-0000253

Project/Site Name: 008 CP Issues - Business Test for Production Approval (Testing Closed/Cancelled Statuses)

Approval (resting closed/calicelled status

Contractor: contractor SWPTStest

1. Contractor's NYC Department of Building (DOB) License Number:

123456

2. Expiry Date of Liability Insurance: *

1/1/2028

3. Construction Start Date: *

Note - Please revise/provide the construction start date

8/4/2025

4. SPDES General Permit Identification #: *

NYR025001

Identify the "Trained Contractor" who is employed by the Primary Site Contractor

Trained Contractor Full Name: *

Note - This person must have attended 4 hours of DEC training certificate program of "Erosion and Sediment Control" within past three years (should hold the wallet card given by DEC)

Trained Contractor

NYS DEC Erosion and Sediment Control Training Certificate Number of above person: *

123456

Permit Pull Monitor Status

May be Performed by:



Owner



Developer



Contractor



Qualified Inspector

Dashboard



6. Construction Permit

The owner developer will identify the Qualified Inspector and Contractor and upload a copy of the maintenance easement. The contractor may then request the permit.

			Search		Q New Construction Permit Pulling Request			
Request ID	Application	Request ID (Application)	Site Name	Status	Issue Date	Expiration Date	Actions	
CP-0000210	S103925	0000746		Extension Requested	7/11/2025	8/8/2025	•	
CP-0000209	S104025	0000738		Approved	6/2/2025	8/6/2027	•	
CP-0000208	S103925	0000737		Approved	6/2/2025	6/2/2027	•	
CP-0000204	S103825	0000734		Expired	5/8/2025	7/1/2025	•	
< 1 2	3 4	5 6 7 >						

Previous

Next

Permit Pull Download Permit

May be Performed by:



Owner



Developer



Contractor



Qualified Inspector



THE CITY OF NEW YORK DEPARTMENT OF ENVIRONMENTAL PROTECTION

Rohit T. Aggarwala Commissioner Bureau of Environmental Planning & Analysis 59-17 Junction Blvd. 9th Floor, Flushing, NY 11373 Stormwaterpermits@dep.nyc.gov

Angela Licata Deputy Commissioner Environmental Planning & Analysis

STORMWATER CONSTRUCTION PERMIT

PROJECT NAME:

SITE ADDRESS:

Developer:

Contractor:

Issued: 6/2/2025 Expiration: 8/6/2027

Application ID: S104025 Request ID: CP-0000209

The holder of this permit must comply with the Rules of the City of New York, Title 15, Section 19.1-03.3. Failure to comply with the permit conditions may result in penalties. Discharges of soil or other objectionable materials to the roads, drains or waterways is a violation of this permit and should be reported via 311. Temporary shutdown of the construction site must be reported to the Department at least 7- days prior.

*DISPLAY PERMIT ON CONSTRUCTION SITE



Notice of Termination & Maintenance Permit

NOT/MPGenerate Draft

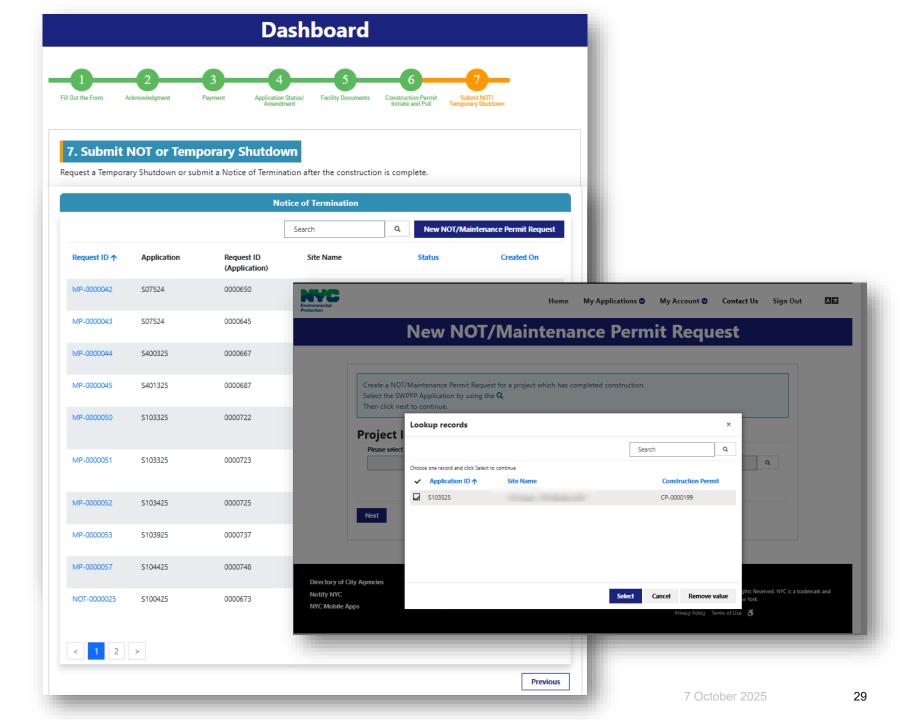
May be Performed by:



Owner



Developer



NOT/MP

Complete Form

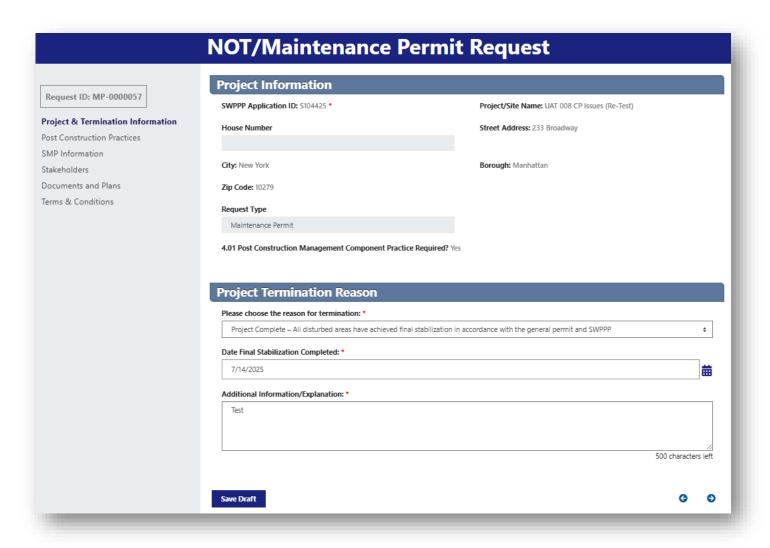
Goals of the NOT/MP:

- Notify DEP of Project Termination
- Make minor updates to project data
- Submit required documents, as outlined in the <u>Stormwater Maintenance Permit Submission</u> <u>Checklist and Cover Letter</u>
- Obtain a Notice of Termination
- When applicable, obtain a Stormwater Maintenance Permit

May be Performed by:







NOT/MP Acknowledge

Must be Performed by:



Owner



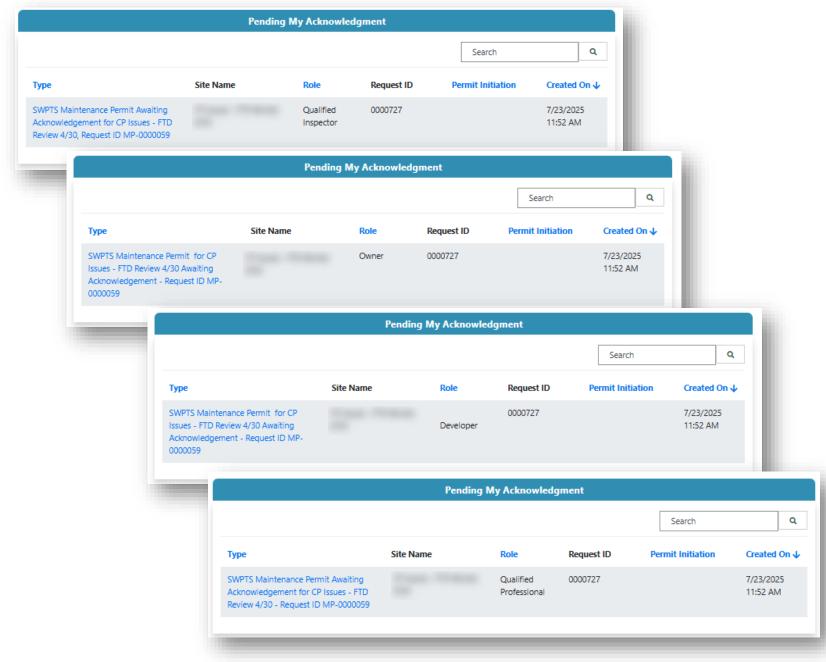
Developer



Qualified Professional



Qualified Inspector

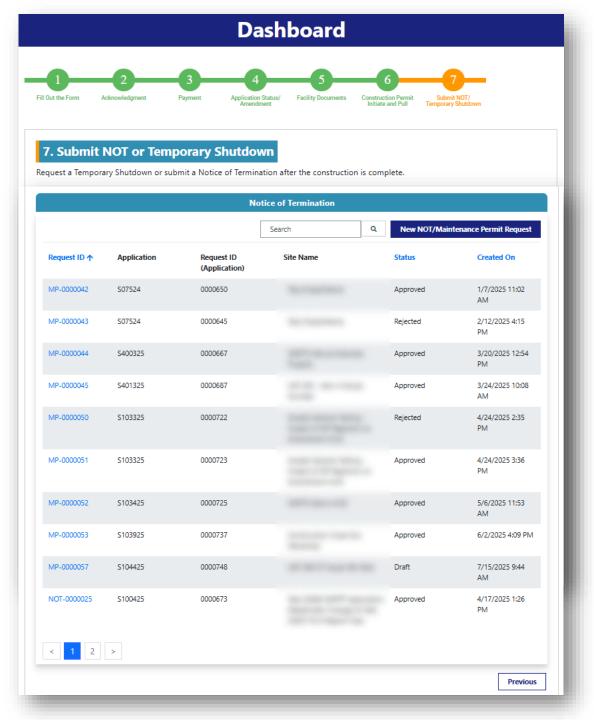


NOT/MP Monitor Status

May be Performed by:







NOT/MP

Download NOT and MP

May be Performed by:



Owner



Developer



Qualified Professional



Qualified Inspector



for construction activities seeking termination from the

SPDES General Permit for Stormwater Discharges from Construction Activity, GP-0-25-001 (CGP)

(The completed form must be attached to the eNOT, which must be submitted to NYSDEC electronically in accordance with CGP Part V.A.5.)

I. Project Owner/Operator Information

- a. Owner/Operator Name:
- b. Contact Person.
- c. Street Address:
- d. City/State/Zip:

II. Project Site Information

- a. Project/Site Name:
- b. Street Address:
- c. City/State/Zip:
- d. CGP SPDES Permit ID:

Traditional Land Use Control MS4 Operator Information

- a. Name of MS4 Operator:
- b. MS4 SPDES Permit ID Number
- c. Street Address:
- d. City/State/Zip:
- e. Telephone Number:

IV. Certification Statement

I have determined that it is acceptable for the owner or operator of the construction project identified above to submit the electronic Notice of Termination in accordance with CGP Part V. I certify under penalty of law that this document and all attachments were prepared under my direction or supervision in accordance with a system designed to assure that qualified personnel properly gather and evaluate the information submitted. Based on my inquiry of the person or persons who manage the system, or those persons directly responsible for gathering the information, the information submitted is, to the best of my knowledge and belief, true, accurate, and complete. I am aware that there are significant penalties for submitting false information, including the possibility of fine and imprisonment for knowing violations.

- a. Printed name of the principal executive officer or ranking elected official for the MS4 Operator or their duly authorized representative in accordance with CGP Part VII.J.2.;
- b. Title/Position;
- c. Signature
- d. Date:



THE CITY OF NEW YORK DEPARTMENT OF ENVIRONMENTAL PROTECTION

Bureau of Environmental Planning & Analysis 59-17 Junction Blvd. 9th Floor, Flushing, NY 11373 SWPMaintInsp@dep.nyc.gov

		MIAN			

Issued: 6/2/2025 Expiration: 6/2/2030

Application ID: S103925 Request ID: MP-0000053

This permit is issued pursuant to chapter 19.1 of title 15 of the Rules of the City of New York.

PROJECT NAME:

SITE ADDRESS: 1

BBL(s): 1001237501

Owner: owner SWPTStest

Entity Responsible for the Long Term Operation and Maintenance:

Additional SWPTS Capabilities



Additional SWPTS CapabilitiesSWPPP Application

SWPPP Amendment

Purpose

To make modification to a SWPPP Application that has been Approved or Disapproved by DEP.

Fee

- Applicable for Major Amendment
- Not Applicable for Minor Amendment

Notes

- Can be done during design or construction
- For projects that haven't received a SWPPP Approval, an amendment may not be filed more than a year after the initial submission.
- If an Amendment is filed after a Stormwater Construction Permit has been pulled, applicants must step through entire SWPTS process, including acknowledgments, payment, permit initiation and pull
- For Minor Amendment, payment step must be completed, even if fee is \$0.00.

SWPPP Approval Extension

Purpose

To maintain validity of SWPPP Approval for one additional year, if Construction Permit was not pulled within two years of initial approval

Fee

Applicable

Notes

- Can only be done once
- If a SWPPP Extension is not filed, and a Construction Permit is not pulled prior to SWPPP Expiry date, a new application will need to be submitted.

Additional SWPTS Capabilities Construction Permit

Construction Permit Temporary Shutdown

Purpose To inform DEP of a temporary mobilization and

request reduced inspection requirements.

Fee None

Notes

- Reduction in inspection frequency only applies if TS request is Approved by DEP
- QI inspections must still take place every month, even is TS is Approved
- May last up to 12 months

Construction Permit Extension & Renewal

Purpose

To extend or renew a Construction Permit if construction will continue beyond permit expiration date.

Fee

- Applies for both Extensions & Renewal
- Different fee structures for each type
- Must be mailed, online capability not available.

Notes

- Extension & Renewals must be filed 30-90 days before permit expiration
- Extension can be filed only one time and is valid for 1 year
- Renewal can be filed as many times as needed and lasts for 2 years each time.

Upcoming Improvements Not Currently in SWPTS

Coming Soon....

- ✓ Ability to make certain Stakeholder Changes without Amendments
 - Qualified Inspector
 - Contractor
 - Trained Contractor
 - Maintenance Permit Owner
 - Entity Responsible for Maintenance
- ✓ New fields for Maintenance Permit Stakeholders
 - Maintenance Permit Owner
 - Entity Responsible for Maintenance
- ✓ Close-Out Coordination Capabilities
- ✓ Maintenance Permit Annual Certification & 5-Year Renewal

Troubleshooting Tips SWPTS Support Contact

Applicants may direct all their SWPTS-related questions to NYCSWPTSadmin@dep.nyc.gov

Project Successes + Q&A

